

# Covalent Functionalizations of Poly(vinyl chloride) in Tune with Applications: An Update

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**Abstract:** Poly(vinyl chloride), PVC, stands as one of the best polymer candidates as far as polymeric materials are strongly sought for in our today's life. Functionalization of poly(vinyl chloride) (PVC) remains an appropriate way to fashion materials for specific applications. Molecules of different functionalities and sizes, up to macromolecules, were affixed to PVC matrix. Graft polymerization led to functionalized PVC with several properties for different applications. Some covalently modified PVCs, mainly with heteroatom-containing and cyclic molecules, proved to be biologically active and efficient scaffolds for enzyme/protein immobilization. Suitable functionalizations of PVC even ensured the effectiveness of the polymers as separative, ion-selective electrode, and fuel cell membranes. Some modifying agents incorporated in PVC made the polymeric materials convenient and reliable for solar cells design. Reactions of PVC with metal chelating molecules engendered PVC-metal complexes that were efficient polymer-supported catalysts for Heck, Sonogashira, and Suzuki-Miyaura coupling reactions. Heavy metal sorbents were also made by tailored functionalization of PVC. Modifications of PVC with allotropic carbon nanoparticles, including fullerene C<sub>60</sub>, carbon nanotubes, and graphene and their applications in the nanocomposites making are herein discussed. The newly emerged "click chemistry" and "living controlled radical polymerization, LCRP" were exploited in the functionalization of poly(vinyl chloride).

**Keywords:** Allotropic carbons, Applications, Functionalization, Membranes, Modification, Poly(vinyl chloride), PVC-supported catalysts.

## 1. INTRODUCTION

For decades, it has become orthodox to focus one's research on subjects that are contingent with our nowadays needs and solving daily problems. In fact, research outcome that is devoid of targeted applications is viewed as worthless. Truly acknowledged is the fact that all research disciplines resort, in one way or another, to the use of polymeric materials. Fortunately, there are a myriad of these materials, synthetic and natural, endowed with the tuned properties. The brilliant advance of chemistry science successfully brings bright solutions for realizing such materials, either by making them up or, utmost, by modifying them. Their modification is chiefly conceived *via* two alternatives: 1) adjunction of exogenous compounds (either molecular or macromolecular one) as in a composite formulation, and 2) chemical functionalization through known reactions, giving rise to covalent binding between the polymer and the functionalizing entity. The latter type of modification requires reactive functional groups both within the polymer and the functionalizing molecule. The proneness of the organic groups within the polymer makes it reactive and functionalizable. Chemical modification is generally applied to the bulk polymers,

but, in some instances, the modification concerns only the surface of the polymeric material as per their ultimate applications [1]. Chemical grafting may stem on outer surface [2] as in membranes (films, sheets, mats) and microspheres, or inner surface as in a hollow tube shape; one shape of the fabricated mats is the one from electrospun nanofibers [3], widely employed in medical devices [4]. Besides, graft polymers and polymer surface grafting may occur either *via* 'grafting from', 'grafting onto', or 'grafting through' mechanism [5].

Poly(vinyl chloride), the second most produced plastic worldwide, is reputed by a number of aspects related to its synthesis, chemical modification, stabilization, and recycling [6]. Although it looks so simple as its nomenclature may suggest, poly(vinyl chloride) concealed a number of complications related to its heterogeneous structure. Its intrinsic structural defects arising during the manufacture and its thermal degradation at elevated temperatures make it a unique plastic to deal with; henceforth, several measures and add-ons need to be considered before its commercialization. Indeed, a PVC macromolecule contains not only the expectedly trivial CH<sub>2</sub>-CHCl unit but also several other ones, giving rise to labile chlorine atoms [7, 8]. As a consequence, a chemical modification of PVC is not obviously and merely a nucleophilic substitution of chlorine atoms, but other pathways, such as elimination mechanism, would parallel. Furthermore, the morphology and the tacticity

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of PVC are important factors to take into consideration for the success of any chemical transformation and to divulge the right account for it. The chemical modifications of polymers are, in fact, value-added means to the materials with tune applications. The conventional as well as the newly developed chemistries were adequately referred to for the chemical modifications of poly(vinyl chloride). Indeed, since its emergence in 2001 [9], click chemistry has opened new avenues not only in related chemistry synthesis [10, 11], but also in several other research aspects [12, 13]. Its application was even extended to polymer field for specific uses [14-16], and, more interesting, to biopolymers [17]. Like poly(vinyl alcohol) [18], poly(vinyl chloride) has undergone several covalent modifications using click chemistry. The new polymerization methods termed "living/controlled radical polymerization (LCRP)", namely reversible addition-fragmentation chain transfer (RAFT), atom transfer radical polymerization (ATRP), nitroxide-mediated polymerization (NMP), have been soundly exploited in the PVC functionalization.

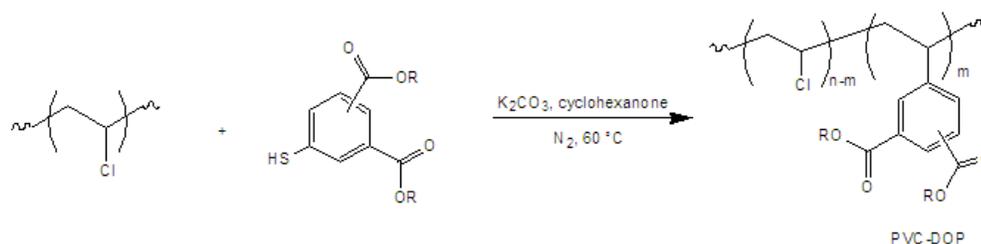
The present review is deliberately confined to the chemical functionalizations of poly(vinyl chloride) published from the year 2010 up to now; reports prior to this date were surveyed [8]. Functionalizations of PVC germane with applications are outlined below as: functionalizations for covalent PVC plasticization, functionalizations for PVC-based membranes, functionalizations for PVC uses in biologicals, functionalizations for PVC chemistry enrichment, functionalizations of PVC by allotropic carbons, functionalizations for optical properties, functionalizations for PVC waste recycling, functionalizations for desalination, and functionalizations for miscellaneous applications.

## 2. COVALENT PLASTICIZATION

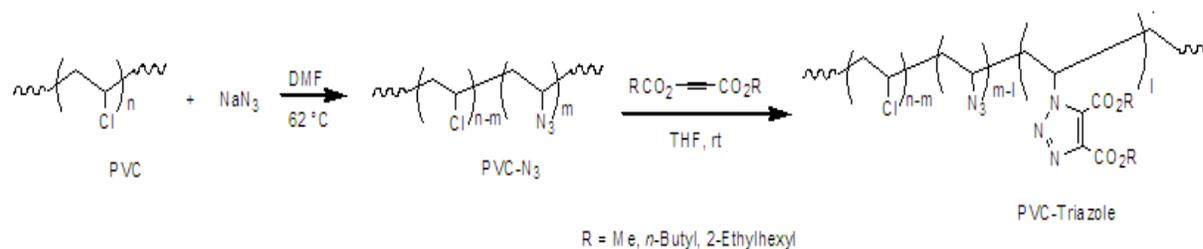
The adversity of the common plasticizers such as dioctyl phthalate (DOP) (or di-2-ethylhexyl phthalate, DEHP) incorporated in a poly(vinyl chloride) films is, by

now, well established and is reportedly linked to their leaching propensity to the media in contact, such as in food packagings and medical devices (intravenous tubing and bags, catheters, dialysis tubing and bags, and blood bags). For several decades, an intensive work has been undertaken towards solving these major drawback and constraint; although an ultimate solution has not been reached yet, a glimmer of hope is still on way. To cite but a few of such endeavors, succinate esters [19] and safer polyphthalates [20] are possible potential substitutes. Raju and his co-workers [21] adopted the strategy of coating PVC sheets with poly(azidoacrylate)s (poly(azidoethyl acrylate), poly(azidopropyl acrylate), poly(azidopropylmethacrylate)) to prevent or reduce the external plasticizer migration. The work-up of this approach was to dip PVC sheets into a dichloromethane (DCM) solution containing poly(azidoacrylate), followed by UV irradiation treatment for 5 h; such an operation engenders crosslinking networks that act as a barrier for the plasticizer migration.

Another alternative to solve the plasticizer leaching from plasticized PVC is to covalently linked the plasticizer onto to poly(vinyl chloride) matrix through the functionalization methodology. Spanish school [22] had the privilege to report the first results on such strategy. In this approach, PVC was allowed to react with two prepared isomers of thiolated DOP (di(2-ethylhexyl) 4-mercaptophthalate and the di(2-ethylhexyl) 5-mercaptoisophthalate) in cyclohexanone at 60 °C to afford PVC-DOPs with a degree of functionalization of 23 and 31 mol.%, respectively (Eq. 1). The glass transition temperatures  $T_g$ 's of internally plasticized PVC-DOPs were higher than that of the externally plasticized PVC/DOP, that is, a temperature range of ~0-20 °C against -60 °C for PVC/DOP (~20 mol.% DOP); the internal plasticization led to PVC with lower flexibility. However, the migration experiment proved a complete loss of DOP in the PVC/DOP after less than 3 h of extraction with *n*-heptane, and no loss of DOP of the internally PVC-DOPs was detected.



(1)



(2)

Earla and Braslau [23, 24] applied “click chemistry” to attach triazole analogues of phthalate plasticizers, namely di(2-ethylhexyl)-1*H*-triazole-4,5 dicarboxylate, di(*n*-butyl)-1*H*-1,2,3-triazole-4,5-dicarboxylate, and dimethyl-1*H*-triazole-4,5-dicarboxylate, onto poly(vinyl chloride) backbone (Eq. 2). The azidation of PVC proceeded with sodium azide in *N,N*-dimethylformamide (DMF) at 62 °C, afforded about 15% of chlorine substitution for a reaction of 2.5 h. The copper-free “azide-alkyne” click chemistry was applied to azidated PVC with dimethyl acetylenedicarboxylate, di(*n*-butyl) acetylenedicarboxylate and di(2-ethyl-hexyl) acetylenedicarboxylate; the click reaction was run in tetrahydrofuran (THF) at room temperature for 27 h.

Yang and his collaborators [25] recently reported the covalent fixation of cardanol, a natural compound (the main component of cashew nutshell) as green internal plasticizer, onto PVC matrix. The modification reaction was carried according to *via* Huisgen copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) of PVC-N<sub>3</sub> (10 mol.% N<sub>3</sub>) as illustrated in Eq. 3. The glass transition temperature  $T_g$  of the modified PVC, PVC-cardanol, was 51.0 °C, a temperature lower than those of PVC-N<sub>3</sub> (81.0 °C) and virgin PVC (87.1 °C), and was about equal to that of PVC-DOP (48.8 °C), suggesting the mobility effect of the cardanol due

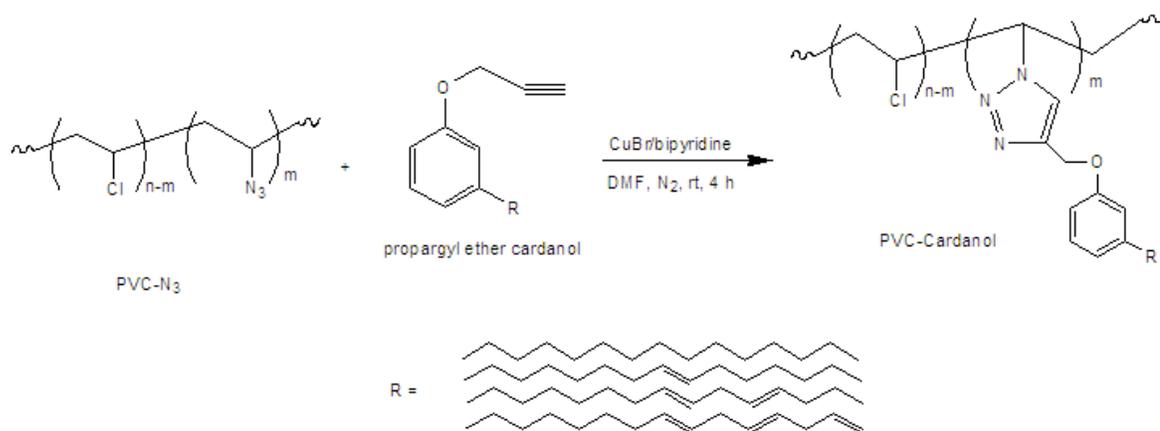
to its fatty alkyl/alkenyl chains. Experiment migration had proved that the leaching of covalently-attached plasticizer was nil. PVC-cardanol showed higher thermal stability than PVC-DOP, a result attributed to the triazole units in the cardanol-functionalized PVC; hence, the latter could be subjected to conditions of elevated temperatures.

Recently, Pan and his co-workers [26] developed a PVC composite made by grafting poly(butyl acrylate) (PBA) onto poly(butyl acrylate)/poly(vinyl chloride) particles *via* a multistep emulsion polymerization. The thus-made PVC composite, that is PBA/PVC-*g*-PBA, exhibited a flexibility comparable to that of the PVC plasticized with DOP; the elongation break of the former with 40 wt.% of PBA was measured to be 353% against 225.5% for PVC plasticized with 40 wt.% of DOP. The corresponding tensile strengths were 2.81 and 0.66 MPa, respectively.

### 3. MEMBRANES

#### 3.1. Separative Membranes

Poly(vinyl chloride) has been widely employed in membranes for separation techniques, including pervaporation, gas permeation, ultrafiltration (UF), microfiltration (MC), and reverse osmosis (RO).



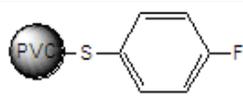
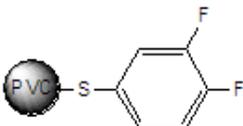
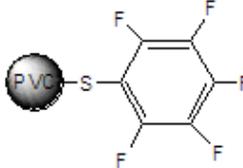
(3)

According to a specific separation, PVC was covalently modified by an adequate functionalization. Spanish school [27-29] reported on bulk and surface functionalization of PVC with fluorothiophenol entities. PVC with a molecular weight of  $M_w = 112\ 000$  g/mol underwent bulk functionalization with fluorothiophenyls *via* nucleophilic substitution reaction with 4-fluorothiophenol (PVC-1F), 3,4-difluorothiophenol (PVC-2F), and pentafluorothiophenol (PVC-5F), in cyclohexanone at 60 °C and in the presence of potassium carbonate. Degrees of modification of as high as 80, 60, and 40 mol.%, respectively, could be reached after five-hour reactions, and with negligible concomitant degradation through the dehydrochlorination. This order of degree of modification was on par with the nucleophilicity powers of the modifiers. For gas transport study, three functionalized PVC-based membranes were evaluated: PVC-1F with 18 mol.%, PVC-2F with 15 mol.%, and PVC-5F with 12 mol.%. The  $T_g$ 's of the latter membranes did not vary in a systematic way, that is in the order of the number of fluorine atoms on the benzene ring, and were measured to be 78.1, 77.5, and 85.4 °C, respectively; that for virgin PVC was 83.0 °C. In general, the gas permeation parameters (diffusion coefficient  $D$ , permeability coefficient  $P$ , and solubility coefficient  $S$  for the experimented gases ( $O_2$ ,  $N_2$ ,  $H_2$ ,  $CO_2$ )) in the case of functionalized PVC-based membranes were generally enhanced compared with

those in the case of unmodified PVC-based one (Table 1). Slight decrease in permeability and diffusion of the functionalized PVC-based membranes after a one-year age at ambient temperature was observed. The improvement of permeability and diffusion of gases through these membranes was explained in terms of increasing free volume by the pendant bulky groups. Also, the modification of PVC film surface was achieved in  $H_2O/DMF$  at 45 at 60 °C in the presence of potassium carbonate, and the degree of modification of the PVC surface was as follows: PVC-1F > PVC-2F > PVC-5F.

For an antifouling purpose in the membrane ultrafiltration separation of oil/water emulsion, the aminated PVC/chlorinated PVC (CVPC) blend membrane was perfluoroalkylated with pentadecafluorooctanoic acid [30]. Immersion of the membrane into an aqueous solution of pentadecafluorooctanoic acid for 12 h at 30 °C afforded material for such a goal. After a measurement time of 10 min, the static water contact angle of the perfluoroalkylated membrane (Figure 1) was nil and that of unmodified membrane was about 40°. The water flux using perfluoroalkylated membrane was greater than that using unmodified one; in both cases, the water flux increased with pressure and attained nearly 180 and 100  $L/m^2h$ , respectively, at a pressure of about 0.10 MPa. As to the permeability issue, the

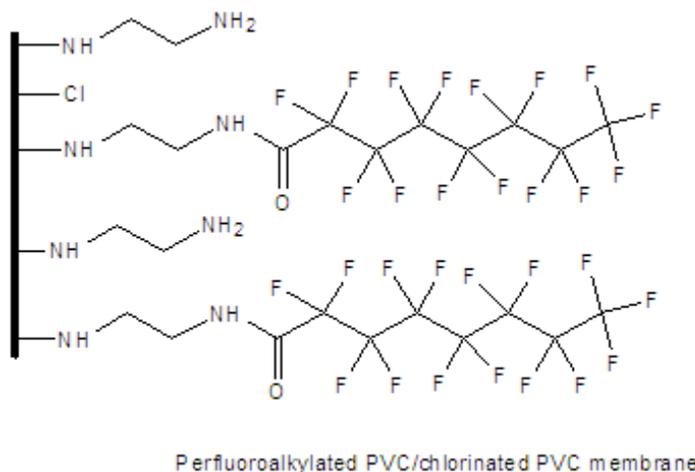
**Table 1: Gas Permeation Parameters (T = 30 °C, Gas Pressure = 1 Bar)**

Membrane	Gas	$D \times 10^8$ ( $cm^2 \cdot s^{-1}$ )	$P$ (Barrer)	$S \times 10^4$ ( $cm^3 \cdot cm^{-3} \cdot (cm \cdot Hg)^{-1}$ )
 PVC	$O_2$	0.71	0.07	9.7
	$N_2$	0.12	0.01	8.7
	$H_2$	77.4	1.88	2.4
	$CO_2$	0.12	0.25	206.8
 PVC-S-C <sub>6</sub> H <sub>4</sub> -F (18 mol.%)	$O_2$	4.13	0.36	8.7
	$N_2$	1.01	0.06	5.7
	$H_2$	125	5.31	4.3
	$CO_2$	0.94	1.54	164.8
 PVC-S-C <sub>6</sub> H <sub>3</sub> (F) <sub>2</sub> (15 mol.%)	$O_2$	1.80	0.19	10.6
	$N_2$	0.60	0.02	3.8
	$H_2$	77.5	3.53	4.6
	$CO_2$	0.39	0.68	177.0
 PVC-S-C <sub>6</sub> H(F) <sub>5</sub> (12 mol.%)	$O_2$	2.01	0.14	6.9
	$N_2$	0.71	0.02	3.4
	$H_2$	90.0	2.77	3.1
	$CO_2$	0.44	0.57	130.0

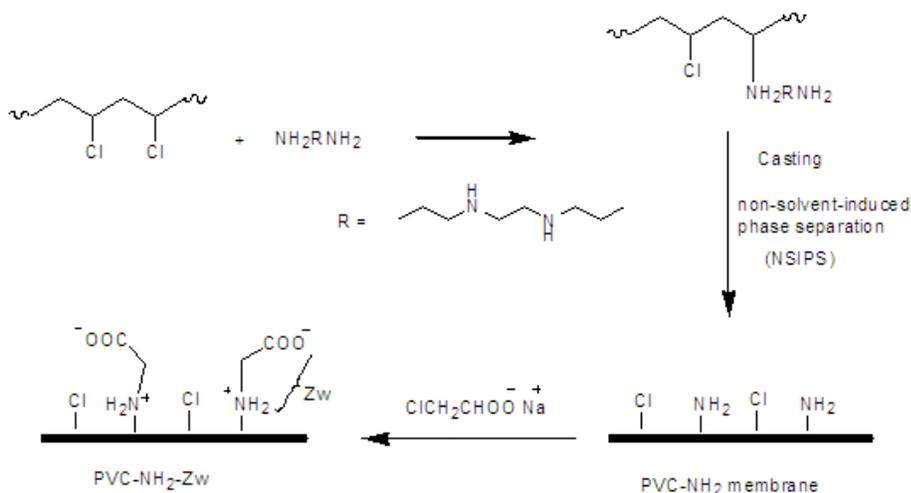
protein bovine serum albumin (BSA) (66.5 kDa) rejection ratio was 95.21% for PVC/CPVC membrane and 84.48% for the perfluoroalkylated membrane at an operation pressure of 0.02 MPa. Also, BSA rejection ratios dropped at high permeate flux with a high operation pressure: 84.05 and 66.54%, respectively, at an operation pressure of 0.1 MPa. This difference in permeability was accounted in terms of pore size difference; the greater pore size of the perfluoroalkylated membrane was claimed to be due to the chemical treatment in preparing the functionalized membrane. However, the rejection ratio of oil/water emulsion droplets was 99.3% by both membranes regardless the operation pressure. The antifouling effect of the perfluoroalkyl groups was demonstrated by the difference in the permeate flux drops ( $\Delta J$ ) of oil/water emulsion after 400 min of operation at a pressure of 0.04 MPa:  $\Delta J$  was only 11.8 L/m<sup>2</sup> h for the perfluoroalkylated membrane and 23 L/m<sup>2</sup> h for the

unmodified membrane. While with the latter ultrafiltration membrane, no flux recovery was seen, a high flux recovery for the former one was observed.

The presence of zwitterionic functionality onto PVC ultrafiltration membrane would improve its hydrophilicity by providing active centers on its surface. Su and his co-workers [31] introduced the zwitterionic (Zw) of amino acid *via* the reaction of aminated PVC membrane (thickness = 240  $\mu$ m) with sodium chloroacetate (Eq. 4). The ensued functionalized PVC membranes, PVC-NH<sub>2</sub>-Zw, were examined for their antifouling properties using BSA as probe. The PVC-NH<sub>2</sub>-Zw, obtained at a temperature of 80 °C and for a reaction time of 12 h, was characterized with the following parameters:  $\theta_w$  (water contact) = 36.1°;  $\zeta$  (zeta potential) = -33.77 mV;  $J_w$  (flux of pure water) = 160 L/m<sup>2</sup>h; BSA rejection = 63.6%. Those of PVC and PVC-NH<sub>2</sub> membranes were, respectively:  $\theta_w$  = 65.8°,



**Figure 1:** Perfluoroalkylated PVC/CPVC membrane.



55.3°;  $\zeta = -33.76$  mV,  $-25.58$  mV;  $J_w = 137.5$  L/m<sup>2</sup>h, 171.7 L/m<sup>2</sup>h; BSA rejection = 81.6%, 79.5%. All the changes in these parameters, mainly the fouling one, were provoked by the hydrophilicity enhancement of the PVC membrane.

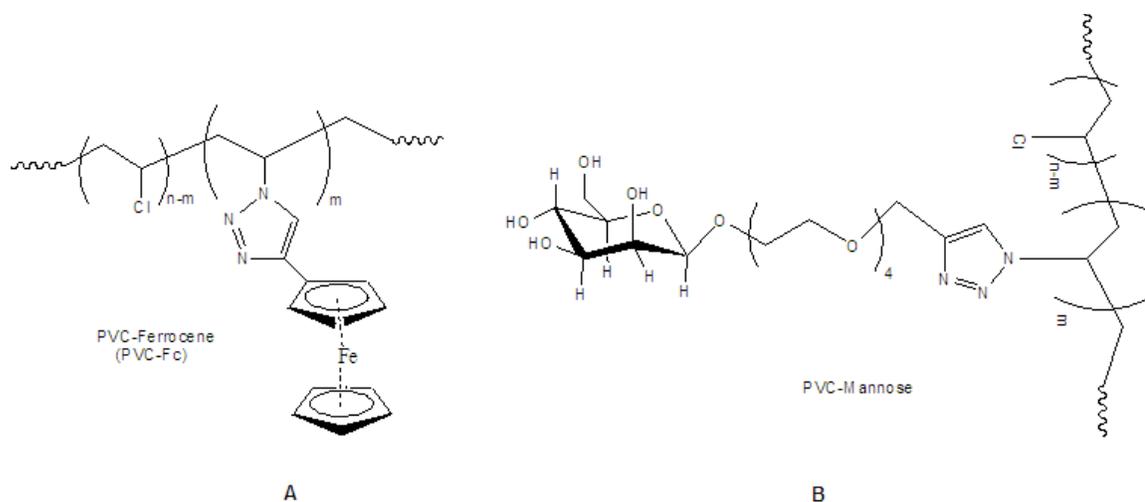
### 3.2. Ion-Selective Electrodes

Ion-selective electrode (ISE) acts as a sensing tool that is able to convert the activity of a specific ion into an electrical potential. Membrane is often employed in the ISE set-up, behaving as ionophore. Poly(vinyl chloride), particularly in its modified forms, has been one of the largest used polymers in the design of such membranes. Bakker's school undertook diverse functionalizations of high molecular weight PVC for elaborating ion-selective membrane electrodes, providing the use of click chemistry [32-37]. The ferrocene moiety was anchored onto PVC matrix *via* CuAAC of PVC-N<sub>3</sub> with ethynylferrocene. An optimum degree of substitution in ferrocene of 6.1% occurred for an azidation reaction of 168 h, and the substitution of azide groups seemed to be complete [32]. Some advantages in the electrochemical process by using the thus-modified PVC, PVC-Fc (Fc = ferrocene; Figure 2A), were claimed. Indeed, in the chronopotentiometric measurements, the PVC-Fc-based membrane displayed a dual role: an ion-to-electron transducer and an initiator of the ion-transfer process from the aqueous to the membrane phase [35]; a selectivity was observed for the following Hofmeister series: chloride, nitrate, thiocyanate and perchlorate. Also, phenomena such as the diffusion and the electrochemical behavior of PVC-Fc, a high molecular weight polymer with a single redox active unit, were noticed [36]. Bakker's investigations were extended to the use of CuAAC

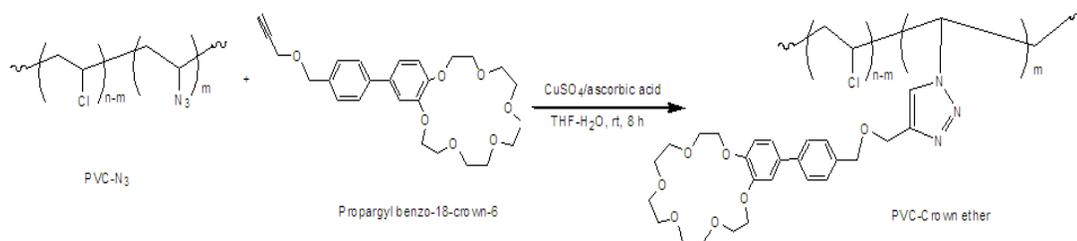
method for the surface modification of plasticized PVC-N<sub>3</sub>-based membranes (PVC with 8 mol% of N<sub>3</sub>), employing clickable dyes such as Nile blue derivatives and fluorescein, and clickable tetraethylene glycol (TEG) and poly(ethylene glycol)s (PEG) with different chain lengths [33, 34]; the dyes were used as visual probes and TEG/PEG for biocompatibility/hydrophilicity enhancement of the PVC-based membrane in the electrochemical measurements. Clickable D-mannose with a TEG moiety (Figure 2B) was synthesized and subjected to 1,3-dipolar cycloaddition with plasticized PVC-N<sub>3</sub> (7 mol% of azide) to yield PVC-mannose [37]. The latter modification was conceived as an electrochemical sensor for Concanavalin A, a lectin plant used in blood typing and widely employed for characterizing and purifying sugar-containing molecules and cellular structures; mannose binds selectively to Concanavalin A. The hydrophilicity of the modified PVC was enhanced as its contact angle of 64° may suggest, compared to that of the untreated PVC (80°).

Potassium-selective electrode membrane was designed using high molecular weight PVC modified with benzo-18-crown-6 ether as ionophore *via* CuAAC approach, as delineated in Eq. 5 [38]. The functionalization was quantitative. The thus-altered PVC-based electrode membrane showed a good selectivity and a fast Nernstian response towards potassium ion with a slope of 58.8 mV/decade, results better than those of free 4-bromobenzo-18-crown-6 ionophore.

Polish workers [39] made up fluoride-selective electrode membranes by means of aminated and carboxylated PVC. Ionophores employed in this work



**Figure 2:** Chemical structures of ferrocene- and D-mannose-functionalized PVCs, **A** and **B**.



(5)

were the complexes of Zr(IV) and Al(III) cations with *tert*-butyl substituted tetraphenylporphyrin and tetraazaporphine. The functionalizations of PVC, that is amination and carboxylation, had an impact on the complexing properties of the ionophores and consequently on the fluoride-selectivity of the electrode membrane.

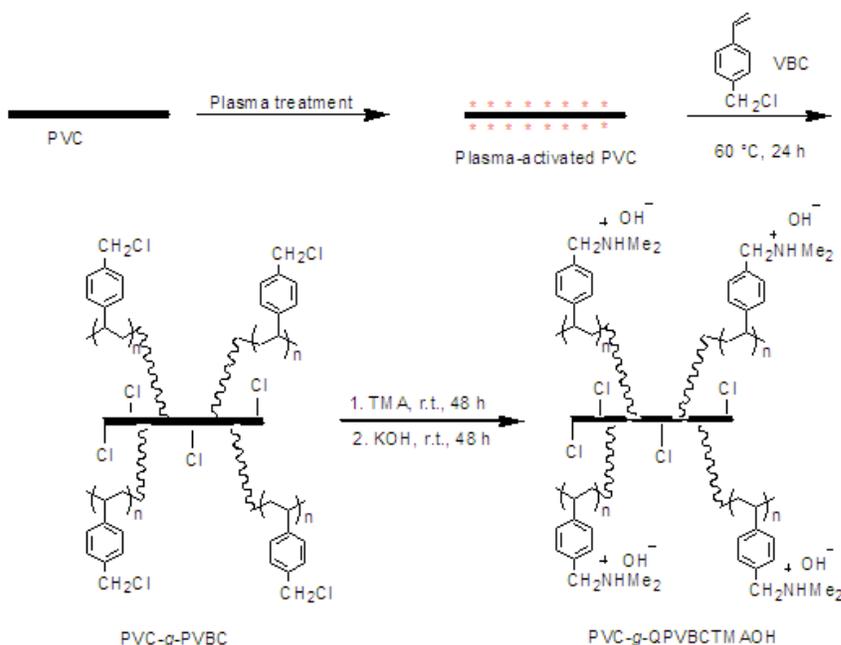
### 3.3. Fuel Cell Membranes

Among a myriad of properly functionalized polymers that were evaluated as exchange membranes for fuel cells (EMFC) [40, 41], there is only one report dealing with functionalized PVC in such application. Alkaline anion-exchange membranes designed for direct alcohol fuel cells (DAFCs) were developed by plasma grafting polymerization of poly(vinylbenzylchloride) (PVBC) onto PVC membrane [42]. Plasma-treated PVC was allowed to react with vinylbenzylchloride at 60 °C for 24 h (Eq. 6). The VBC content in PVC-*g*-PVBC graft copolymer was estimated as 32.37%. Quaternization of the ensued PVC-*g*-PVBC with

trimethylamine (TMA) at room temperature for 48 h and subsequent treatment with KOH led to the desired membrane, PVC-*g*-QPVBCTMAOH. PVC-*g*-PVBC, PVC-*g*-QPVBCTMACl, and PVC-*g*-QPVBCTMAOH were thermally less stable than the parent PVC. The mechanical properties of PVC-*g*-QPVBCTMAOH membrane at a relative humidity of 100% were: tensile strength of 8.74 MPa, elongation at break of 5.1%, and Young modulus of 374.7 MPa. Water uptake, ion-exchange capacity, methanol permeability, and hydroxide ion (OH<sup>-</sup>) conductivity of PVC-*g*-QPVBCTMAOH membrane were 65.63%, 1.01 mmol/g,  $9.59 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$ , and  $0.0145 \text{ S cm}^{-1}$ . Such conductivity and methanol permeability values are a good indication for application of the membrane in fuel cell technology.

### 4. BIOLOGICALS

Poly(vinyl chloride) continues to gain a foothold in medical uses. Yet, some of its drawbacks, such as high



(6)

hydrophobicity, very poor biocompatibility, low surface free energy, and non-specific protein adsorption potency would impede its use in its bare form. One alternative to alleviate some of its shortcomings is the covalent chemical functionalization with an adequately chosen modifying agent. For example, one way to enhance the PVC biocompatibility has been to complex the aminated PVC with heparin, or to graft poly(ethylene glycol) (PEG) onto PVC.

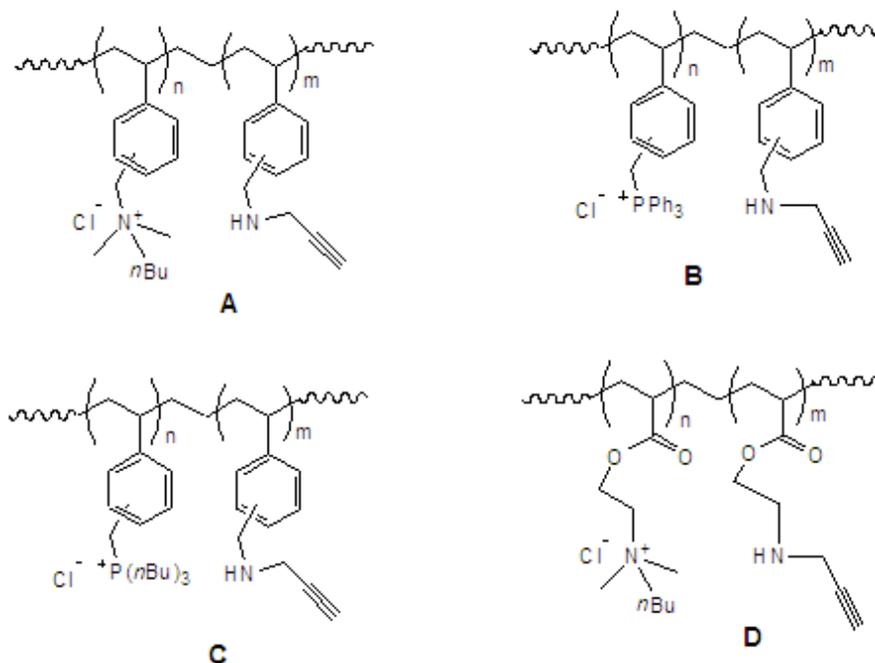
#### 4.1. Biological Activity

Polymers are by now inevitably indispensable materials for the design of biological assays (antibacterial, pharmaceuticals, ...). Of these, poly(vinyl chloride) is the one that has acquired a foothold in medical applications. Polymers with antibacterial activity commonly contain heteroatoms (nitrogen, sulfur), and ammonium, phosphonium, and sulfonium groups, moieties responsible for this activity [43-45].

Click chemistry was nowadays a means to introduce an anti-bactericidal polymeric material onto PVC matrix [46, 47]. Under CuAAC strategy, PVC surface was grafted with cationic copolymers, by immersing films of PVC-N<sub>3</sub> in ethanol/water medium in the presence of copolymers containing alkyne groups and quaternary ammonium or phosphonium groups (Figure 3A, B, C, D) at ambient temperature for two days [46]. Such graftings allowed hydrophilicity enhancement of the PVC and the PVC-N<sub>3</sub> as indicated

by the water angle values which neared 70°, their polar energy values which ranged from 5.6 to 7.9 mN/m, and their polarity which fluctuated between 13 and 20%; those of PVC and PVC-N<sub>3</sub> were respectively: 90 and 78°, 1 and 3.1 mN/m, and 0.9 and 8.7%. The biological assays of the copolymers-grafted PVC against *Escherichia coli* and *Staphylococcus epidermidis* strains demonstrated a faster and quantitative attachment of the bacteria to the cationic surfaces; PVC grafted with quaternary ammonium copolymers **A** and **D** showed higher biocidal effect, a killing efficiency of about 99% after a one-hour contact. The cationic PVC surfaces with quaternary phosphonium copolymers **B** and **C** exhibited lower bactericidal effect with a killing efficiency of 86% and 96% against *S. epidermidis* and of 96% and 95% against *E. coli*, respectively.

Yoshioka *et al.* [48] valorized the antibacterial activity of the products of PVC thiocyanation. A detailed discussion on the thiocyanation reaction of PVC is delineated below. The adhesion of bacteria (*Staphylococcus capitis*) onto thiocyanated PVC films was largely reduced (about 67-79% reduction) compared with that for the bare PVC ones. The study revealed that the best antibacterial results were observed for PVC functionalized exclusively with isothiocyanate, PVC-N=C=S, obtained using tetrahydrofuran/dimethylsulfoxide (THF/DMSO) solvent system. It seems that the thiocyanate group is biologically inactive.

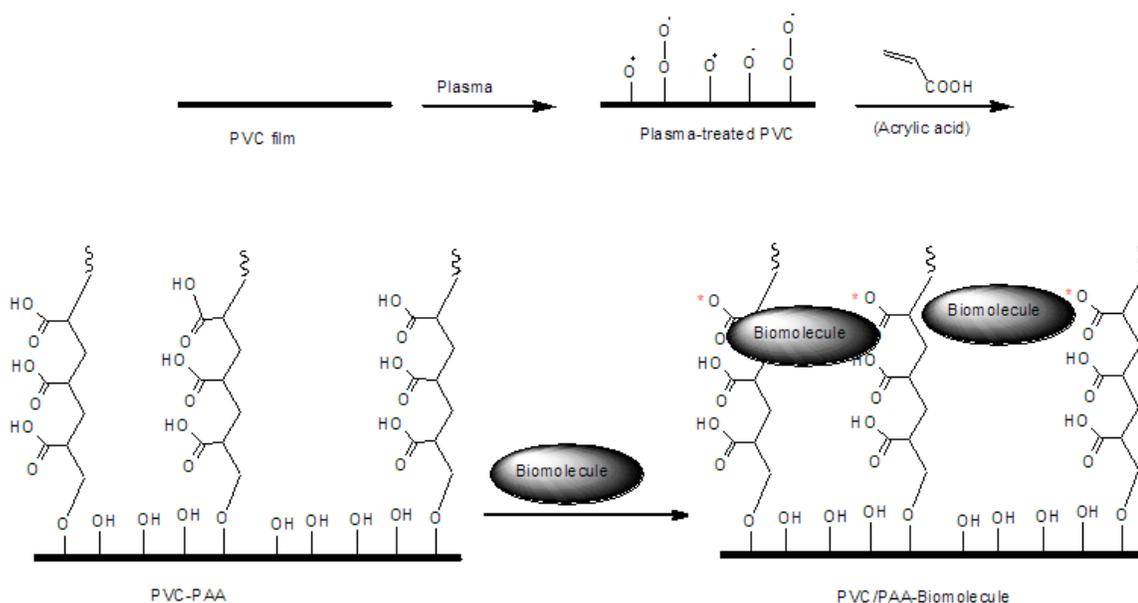


**Figure 3:** Chemical structures of some anti-bacterial polymeric materials.

Sedlařík's group launched a series of undertakings on PVC-based antibacterial materials, in form of either formulations [49, 50] or through covalent functionalizations [51-53]. Towards coating antibacterial materials onto medical-grade PVC, this group radically grafted poly(acrylic acid) (PAA) onto PVC film after a surface activation by diffuse coplanar surface barrier discharge plasma as shown in Scheme 1; the grafting reaction was run at 30 °C for 24 h. The deposition of the anti-bacterial substances onto PVC-PAA sheets required the activation of carboxylic groups of PAA branches with *N*-(3-dimethylaminopropyl)-*N*'-ethyl carbodiimide hydrochloride; such an activation ensured the formation of *O*-acylisourea, a highly active intermediate. The coated biomolecules were: bronopol, chitosan, benzalkonium chloride, chlorhexidine, and irgasan. Hydrophilicity of PVC films increased upon plasma treatment and PAA grafting as indicated by the water contact angle values  $\theta_w$ , 64.9 and 46.5° versus 85.9° for pristine PVC. However, the hydrophilicity decreased upon coating with the antibacterial agents in the order cited-above, as  $\theta_w$  may reveal: 63.2, 63.5, 66.6, and 68.7, 69.6°. As to biological assays, the PVC-PAA coated with bronopol and benzalkonium chloride were inefficient towards *Staphylococcus aureus* as they did not reduce the number of adhering specimens, and the modification with chlorhexidine exhibited a 50% activity. However, the adhesion reduction for the *Escherichia coli* strain was 60, 70, and 85% in the case of chlorhexidine, bronopol, and benzalkonium chloride, respectively; interesting was

the better biological activity of plasma-activated PVC, up to 80%, towards this strain. Of the PVC-chitosan and PVC-chitosan/pectin, only the latter system showed biocidal effects against *Staphylococcus aureus*, about 30%. And, they were active against *Escherichia coli* strains in 50 and 20%, respectively. As to PVC-irgasan, it worked in the inhibition of bacterial growth against both strains but was ineffective in preventing the adherence and biofilm formation.

However, Alvarez-Lorenzo and Burillo and their group [54] treated pieces of PVC-made urinary catheter with oxidative irradiation using  $^{60}\text{Co}$ - $\gamma$  rays (doses of 5-15 kGy) to promote the graft polymerization of acrylic acid (PAA) and poly(ethylene glycol) methacrylate (PEGMA,  $M = 360$  g/mol), conceived as the one shown in Scheme 1. The graft copolymers, PVC-*g*-PAA, PVC-*g*-PPEGMA, and the binary grafts PVC-*g*-PAA-*g*-PPEGMA were obtained with grafting extents of 8-207%. Graft copolymers were 10 °C more thermally stable than the bare PVC catheter; the PAA content in binary graft copolymers enhanced the thermal stability better than PPEGMA content. The swelling ratios of the different graft copolymers in water reached 51% beyond 7 h of contact and were dependent of PAA and PPEGMA contents; the greater the content, the better the swelling degree. The water contact angles  $\theta_w$  of the copolymers after 30 s of contact were lower than that of PVC, 65-97° versus 98° for untreated PVC catheter, as a result of the high hydrophilicity of the grafts PAA and PPEGMA. The Young's moduli of the graft copolymers are linked to the graft chains; graft copolymer with 16%



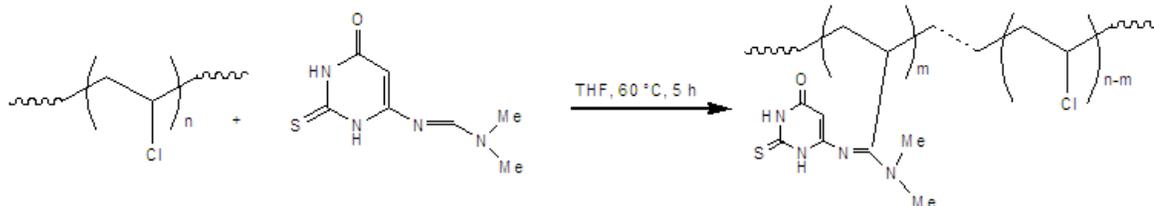
**Scheme 1:** Acrylic acid graft polymerization initiated by plasma-treated PVC surface and immobilization of biomolecules. Activated carboxyl groups are shown in red stars.

PPEGMA chains had a Young's modulus of 10 MPa and that for a graft copolymer with 25% PAA had a Young's modulus of 64 MPa; the Young's modulus of PVC catheter was measured to be 18 MPa; the measured moduli are in agreement with the stiffness of the homopolymers PPEGMA ( $T_g < 0^\circ\text{C}$ ) and PAA ( $T_g 150^\circ\text{C}$ ). For PVC-g-PAA-g-PPEGMA, the Young's modulus was 12 MPa, an in-between value. The different PVC-based graft copolymers exhibited good cytocompatibility with fibroblasts, from 90-107% for the cell viability during the first 24 h of incubation. The loading of ciprofloxacin, an antimicrobial agent, on the graft copolymers PVC-g-PAA and PVC-g-PAA-g-PPEGMA was substantial (0.13-0.28 mg/g) compared with bare PVC (0.025 mg/g) and PVC-g-PPEGMA (0.025-0.026 mg/g) after 48 h of incubation; this difference in drug uptake was explained in term of the high interaction of the carboxylic acid functional group of PAA chains with the drug. The ciprofloxacin-loaded functionalized catheters inhibited the growth of Gram (+)-*Escherichia coli* and Gram (+)-*Staphylococcus aureus*. These catheters were still effective but to a lesser extent, for a second cycle against inhibition more *Escherichia coli*.

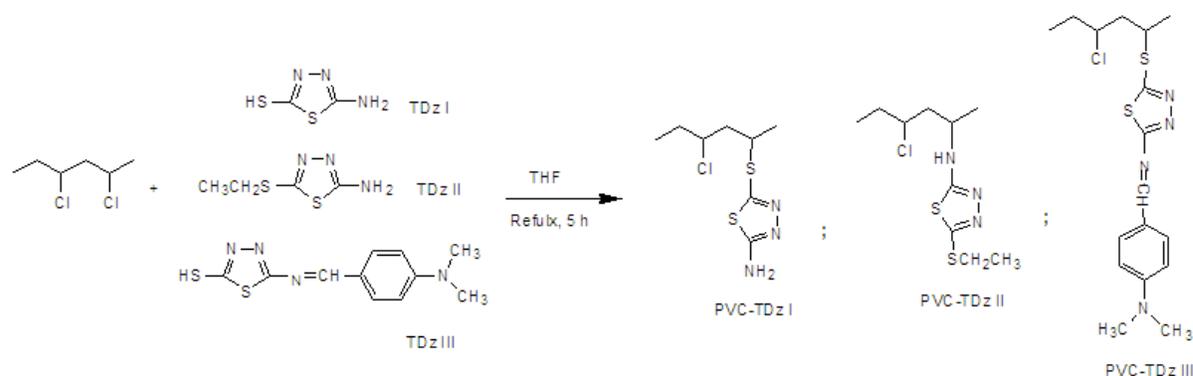
Recently, Korean investigators [55] applied the atom transfer radical polymerization (ATRP) technique for grafting poly(4-vinylpyridine) (P4VP) onto PVC matrix ( $M_w = 97\,000\text{ g/mol}$ ) via the labile chlorine atoms. The highest grafting extent was in the range of 46 wt.%. Such grafting altered the microphase structure of PVC, that is a microphase-separated nanostructure with 37.6 nm of domain spacing was formed for PVC-g-P4VP as revealed by transmission electron microscope (TEM) and small angle X-ray scattering (SAXS) analyses. The quaternization of PVC-g-P4VP with hexyl bromide afforded materials with a potential antimicrobial activity against *Escherichia coli* (*E. coli*), *Staphylococcus aureus* (*S. aureus*), *Bacillus cereus* (*B. cereus*), and *Pseudomonas aeruginosa* (*P. aeruginosa*); about 100% of killing efficiency was observed after 24 h of contact.

A pyrimidine derivative was covalently incorporated onto PVC backbone by reaction of *N,N*-dimethyl-*N'*-(6-oxo-2-thioxo-1*H*-pyrimidine-4-yl)formamidine in THF at  $60^\circ\text{C}$  for 5 h [56] as illustrated in Eq. 7. Nearly 50% of chlorine atoms of PVC were substituted. The thus-functionalized PVC was experimented for antibacterial activity against *Staphylococcus aureus*, *Bacillus cereus*, *Escherichia coli* and *Salmonella typhimurium*, and for antifungal activity against *Candida albicans* and *Aspergillus flavus*. The antibacterial effects of modified PVC, using Cefoperazone as standard, were 78.57% (Gram+ *Staphylococcus aureus*), 92.86% (Gram+ *Bacillus cereus*), 92.86% (Gram- *Escherichia coli*), and 71.43% (Gram- *Salmonella typhimurium*); those for native PVC were 0, 78.57, 71.43, and 0%, respectively. However, its antifungal activity was found to be lower than that of the unmodified PVC. The effect of this pyrimidine functionalization was the relatively good photostability of the modified PVC and the solidity towards discoloration; a maximum decrease of its molecular weight  $M_w$  of only 6.16% occurred after 10 h of UV irradiation.

Functionalization of thermoplastic polymers with silanes and siloxanes was surveyed by Chruściel and E. Leśniak [57]. Polish researchers [58] reported the modification of PVC sheets by organosilanes after a plasma operation. The latter treatment generated hydroxyl groups on the PVC surface in an extent of  $1.47\text{ nmol}\cdot\text{cm}^{-2}$ , which reacted with organosilanes, including 1*H*,1*H*,2*H*,2*H*-perfluorooctylmethyldimethoxysilane, isobutylmethyl dimethoxysilane, (3-glycidoxypropyl)trimethoxysilane, and 3(1-*tert*butylamine-2-hydroxy)propyloxypropyl diethoxymethylsilane, in the presence of tin (II) octoate as catalyst. Surface tensions of PVCs modified with the last two organosilanes were about twice that of virgin PVC sheet, whereas those for PVCs modified with the first two organosilanes were slightly higher. Polar forces, for the former modifications, were found to contribute largely to the surface tension, and, for the latter ones, the dispersive forces were predominant. These specimens were examined for antibacterial activity against *Aeromonas hydrophila* strain. Adhesion test



(7)



(8)

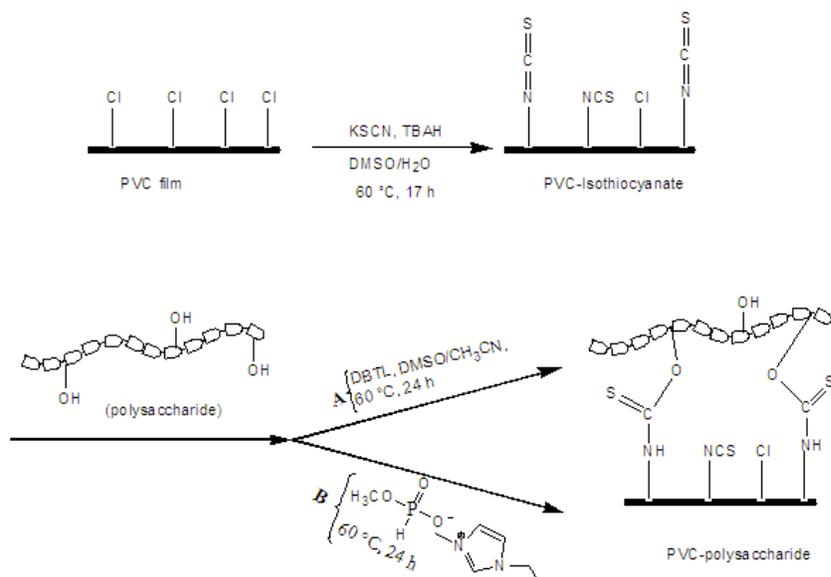
showed that PVCs modified with the first three organosilanes were more efficient than PVC modified with the last one which behaved similarly to the pristine PVC.

A means of detection of *Bacillus anthracis* spores was conceived by Oztuna *et al.* [59] and realized by providing a coating of aminated PVC on quartz crystal microbalance (QCM) immunosensor; aminated PVC was obtained by refluxing a mixture of PVC and 1,3-diaminopropane in DMF for 3 h.

Thiadiazole-bearing units were appended to PVC chains through amino and mercapto bridges [60]. The substitution reaction on PVC was induced by 2-amino-5-thiol-1,3,4-thiadiazole (TDz I), 2-amino-5-ethylthio-1,3,4-thiadiazole (TDz II), and 2[4-dimethyl amino benzylidene] 5-thiol-1,3,4-thiadiazole (TDz III), in THF and in the presence of pyridine at reflux for 5 h, to yield PVC-TDz I, PVC-TDz II, and PVC-TDz III, respectively,

(Eq. 8). The as-modified PVCs were yellow to brown powders, soluble in DMF, DMSO, methanol (MeOH), and diethyl ether (DEE) (except for PVC-TDz III), and characterized with softening points of 250, 210 and 290 °C, respectively. These PVC-TDz materials, particularly PVC-TDz III, exhibited good antimicrobial activity against *Escherichia coli* *Staphylococcus aureus* bacteria.

Kébir *et al.* [47, 61] realized the covalent functionalization of bioactive seaweed polysaccharide, methyl and hydroxyethylcellulose (MC, HEC) onto PVC backbone. Isothiocyanate functionality served as an intermediate means for binding these polysaccharides to PVC matrix through *o*-thiocarbamate linkage. PVC films ( $M_w = 80\,000$  g/mol) were allowed to react with potassium thiocyanate in DMSO/water and in the presence of tetrabutylammonium hydroxide (TBAH) at 60 °C for 17 h. For the grafting of MC and HEC with different molecular weights, PVC sheets were



(9)

immersed for 24 h at 60 °C into a DMSO/acetonitrile solution containing the polysaccharide and dibutyltin dilaurate as catalyst (Eq. 9A). Static contact angles with water  $\theta_w$  and polarities of the PVC-MC ( $M_w$  of MC = 88 000 g/mol) and PVC-HEC ( $M_w$  of HEC = 720 000 g/mol) were 60 and 65°, 22.7 and 14.6%, respectively; those for PVC and PVC-isothiocyanate were 89 and 44°, 2.3 and 32.7%, respectively. The grafted PVC-polysaccharides were more polar and more hydrophilic than pristine PVC sheet and less polar and less hydrophilic than PVC-isothiocyanate films. However, the grafting of seaweed polysaccharides (Laminarin 822, Ulvan 901, Ulvan 815, Ulvan 815, Fucan 812, Zosterin 900) onto PVC film *via* isothiocyanation was accomplished in 1-ethyl-3-methyl-imidazolium phosphate, an ionic liquid, and under the same temperature and time (Eq. 9B). The static contact angles with water  $\theta_w$  and polarities of these PVC-seaweed polysaccharides varied in the range of 56-61° and 19.5-26.3%.

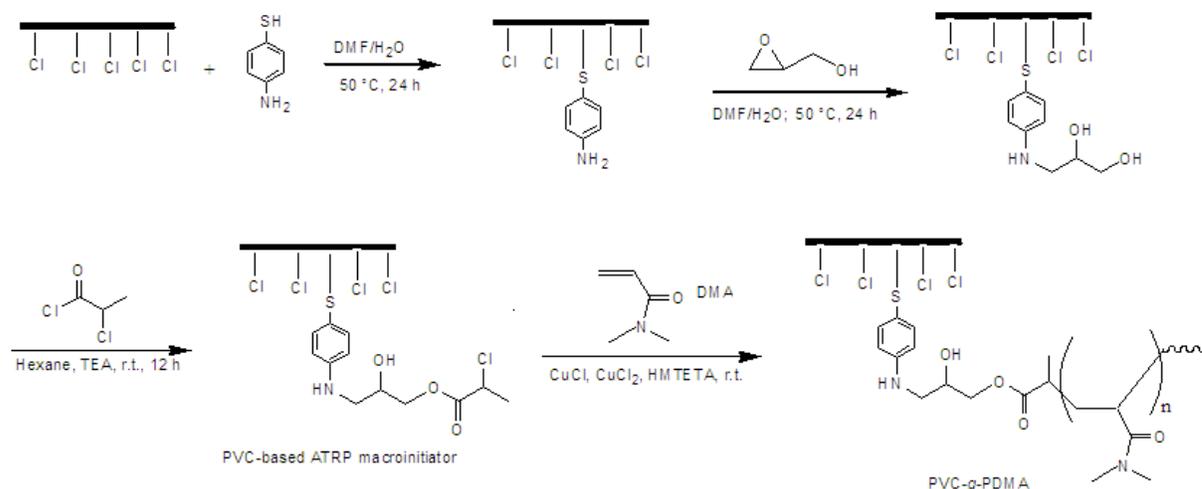
Monika *et al.* [62-64] investigated the biocompatibility of surface-modified medical grade PVC with thiosulfate TS ( $S_2O_3^{2-}$ ), sulfite S ( $SO_3^{2-}$ ), and thiourea TU ( $(NH_2)_2C=S$ ) as ionomers. The modifications involved the reactions of the ionomers with PVC film in THF at 60-65 °C for 5-6 h and in the presence of *t*-butylammonium hydrogen sulfate (TBAHS), a phase transfer catalyst. The functionalized PVC films, PVC-TS, PVC-S, PVC-TU started to decompose at temperatures lower than that of PVC (240 °C) that is 200, 190, and 219 °C, respectively. Anchoring the ionomers onto PVC did not alter the morphology of the polymer but diminished its hydrophobicity, hence improving wettability, as the

water contact angles were measured to be lower than that of PVC: 65, 60, and 55° versus 82°. Lower hemolysis was found with the functionalized PVC films (<3%) compared with bare PVC (6%); hemolysis degree is taken as a blood compatibility estimation. However, the chemical modifications did not bring about a change in the adhesion of bacteria.

Brooks and his collaborators [65] adopted the surface-initiated atom transfer radical polymerization (SI-ATRP) to fashion polymer brushes from unplasticized poly(vinyl chloride) and *N,N*-dimethylacrylamide (DMA) monomer. As shown in Eq. 10, PVC surface underwent three successive modifications by reaction with 4-aminothiophenol, glycidol, and finally with 2-chloropropionyl chloride, affording an ATRP macroinitiator. DMA polymerization was initiated under ATRP conditions and the grown poly(*N,N*-dimethylacrylamide) (PDMA) brushes were characterized by molecular weights  $M_n$  of as low as 35 000 g/mol and as high as 2 100 000 g/mol and with a polydispersity index of 1.24-1.92. The graft density ranged from 0.05 to 1.13 chains/nm<sup>2</sup>. The water contact angle  $\theta_w$  decreased dramatically to 0° for PVC-*g*-PDMA graft copolymers with high molecular weights and high graft density, suggesting a change in the interfacial energy. The PVC-*g*-PDMA with increasing molecular weights and graft densities up to 0.5 chains/nm<sup>2</sup> lowered the platelet activation in platelet-rich plasma (PRP).

#### 4.2. Enzyme/Protein Immobilization

Polymeric materials have continued to serve as immobilizing supports for enzymes since the Merrifield's solid-state peptide synthesis breakthrough.

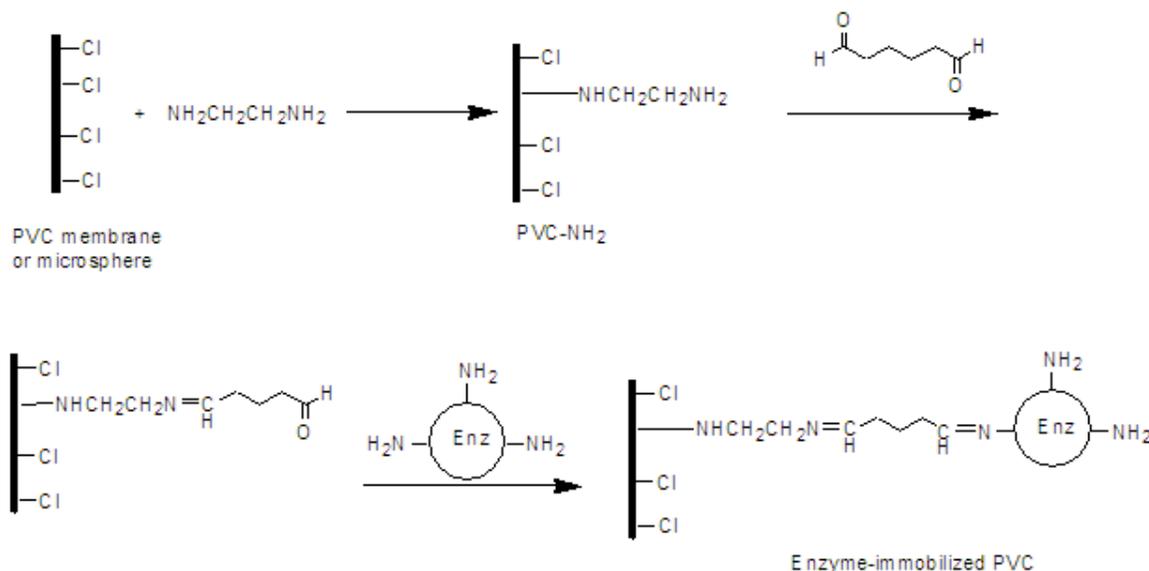


Specific applications require relevant polymers with adequate functionalizations towards immobilizing of enzymes. A sound review on this special issue was published in 2014 by Mohy Eldin and Mita [66]. In fact, Mohy Eldin and his co-workers [67-70] were able to covalently immobilize penicillin G acylase and  $\beta$ -galactosidase onto PVC matrix *via* the amine functionalization as depicted in Scheme 2. The immobilized penicillin G acylase was employed for the production of 6-aminopenicillanic acid (6APA) from penicillin hydrolysis. The PVC membrane, prepared by casting from a THF solution, was put in contact with aqueous solution of ethylenediamine at 80 °C for 1 h. Immobilization of enzyme was performed after activation of the PVC-NH<sub>2</sub> membrane with glutaraldehyde in phosphate buffer solution of a pH of 7.8 at 4 °C for 16 h. It was claimed that glutaraldehyde enhanced the conformational flexibility and the enzymatic activity, and restricted the interactions among immobilized enzyme molecules. Optimal immobilization yield and penicillin G acylase activity were observed for a temperature of 30 °C and a time of 16 h. The apparent kinetic parameters, Michaelis constant  $K_m$  and velocity  $V_{max}$  for the immobilized enzyme in the catalytic production of 6-aminopenicillanic acid were 23 times higher and six times lower, respectively, than those of the free one. The results on  $\beta$ -galactosidase-immobilized PVC microspheres showed some promise in the industrial use for milk production; about 80% of lactose could be hydrolyzed within 6 h at 60 °C by virtue of using this immobilized enzyme.  $K_m$  and  $V_{max}$  of the lactose hydrolysis using the immobilized  $\beta$ -galactosidase were,

respectively, 2.36 and 1.63 times higher than those using the free form.

Zhou *et al.* [71] applied the same glutaraldehyde activation process for PVC-NH<sub>2</sub> microspheres to immobilize trypsin-chymotrypsin, a mixed protease. The activity of the immobilized enzyme was found to increase with the amination degree; an optimal activity was seen for PVC-NH<sub>2</sub> with %N of about 0.03%. And, a better glutaraldehyde activation happened under the following conditions: a glutaraldehyde concentration of 1.0%, a pH of 3.0, a contact time of 1 h, and a reaction temperature of 40 °C. The immobilized mixed trypsin/chymotrypsin with maximum activity (1341 U/g) was made under the following optimal conditions: a pH of 6.6, a temperature of 23 °C, and a contact time of 2 h. The catalytic hydrolysis of casein using the trypsin/chymotrypsin-immobilized PVC followed Michaelis-Menten kinetics. The Michaelis constant  $K_m$  was greater than that for the free enzyme system, 23.65 against 19.33 g/L, and the corresponding  $V_m$  was lower, 1.36 against 1.96 U, under optimum conditions (T = 55 °C, pH = 7.5-8.2).

Koncki and his team [72] realized the covalent immobilization of alkaline phosphatase onto the inner wall of PVC tubing, conceived as a bioreactor. PVC tubing was coated with carboxylic acid-functionalized PVC layer by immersion into THF. 1-Ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride was employed for attaching the phosphatase enzyme (coded as ALP, EC 3.1.3.1) onto the inner wall of PVC tubing. Such a thus-mounted bioreactor was tested for the hydrolysis of *p*-nitrophenyl phosphate. The



**Scheme 2:** Required steps for immobilization of enzymes on PV surface.

hydrolysis success was quantified in terms of *p*-nitrophenol formation, and the results revealed that the as-made bioreactor showed better performance than the uncoated PVC tubing. Also, the success of this operation was attributed to the use of 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide as an effective crosslinker for the enzyme immobilization.

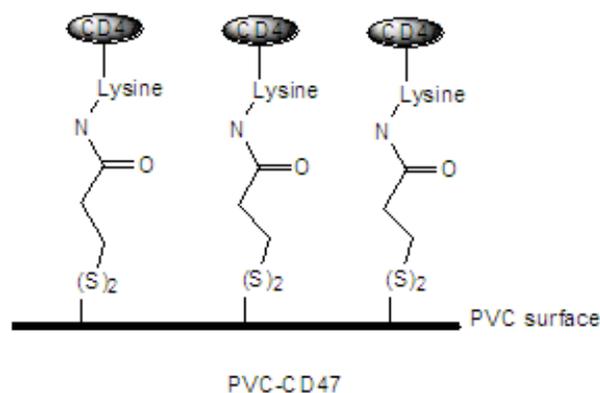
The protein horseradish peroxidase (HRP) was covalently bound to DEHP-plasticized and unplasticized medical grade PVC sheets upon surface treatment with ion beam implantation (plasma) [73]. Such plasma operation caused wettability changes of the PVC materials; water angle contact  $\theta_w$  decreased when freshly beamed and increased after storage: 58 and 12.8° for plasticized and unplasticized PVC, respectively, after 1600 s, and 87 and 46.6° after 4-5 days. The immobilized HRP was found to resist to sodium dodecyl sulfate washing, only 18% of the protein was leached, suggesting a strong binding of the enzyme to the polymer matrix. The enzymatic activity of the HRP-immobilized unplasticized PVC after a plasma treatment in the known 3,3',5,5'-tetramethylbenzidine assay, that is the reduction of hydrogen peroxide to water, was found to drop with time, whereas that for plasticized one was maintained for two weeks if plasma treatment time was longer than 400 s. The plasma treatment and the plasticization seemed to have a significant effect on the enzyme immobilization stability.

Arenas *et al.* [74] succeeded in covalently linking streptokinase coenzyme onto a graft copolymer PVC-*g*-PEGMA grafted (poly(vinyl chloride)-*g*-poly(ethylene glycol)methacrylate). PEGMA grafting occurred on PVC irradiated with mercury lamp, at a temperature of 50, 60, or 70 °C for 23 h; a maximum grafting efficiency of about 82% was achieved for a temperature of 70 °C, PVC irradiation time of about 3 h, and 1 M of EGMA monomer. Such grafting would impart a good mechanical property of the system and a degree of hydrophilicity ( $\theta_w \sim 68\text{-}72^\circ$  against 86°). The immobilization course involved the use of 1-ethyl-3-(3-dimethyl aminopropylcarbodiimide hydrochloride) and sulfo-*N*-hydroxysulfosuccinimide and UV light. The results of immobilized enzyme test for H-D-Val-Leu-Lys-pNA × 2HCl substrate were:  $K_m = 0.14 \mu\text{M}$  and  $V_{\text{max}} = 29.8 \mu\text{M min}^{-1}$ .

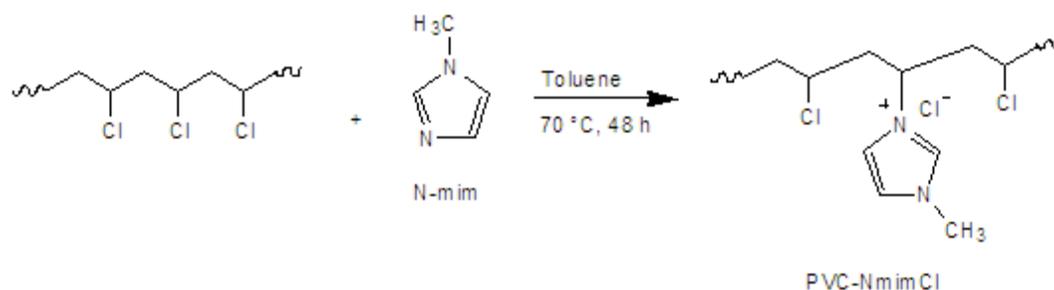
The chemistry using 1-ethyl-3-(3-dimethyl aminopropylcarbodiimide hydrochloride) and sulfo-*N*-hydroxysulfosuccinimide was applied for covalently immobilizing avidin, a biotin-binding protein, to

electrospun nanofiber PVC membrane [75]. The avidin-appended nanofiber membrane was functionalized with biotinylated quantum dot (QD) in view of quantifying fluorescently the avidin content. To this end, PVC with 1.8% of carboxylic acid group was transformed into electrospun nanofiber membrane to which avidin was linked, followed by QD attachment.

Gabriel *et al.* [76] performed a thorough study on the amination of surface of PVC films, using 20% of ethylene diamine in isopropanol at ambient temperature for different times. The maximum amination extent occurred for a period of time of 30 min for which a surface density of amino groups attained 10.5 l/nm<sup>2</sup>. The water contact angle  $\theta_w$  of this aminated PVC film was measured to be 75.8° (that for untreated PVC film was 102.9°), hinting at a hydrophobicity lowering. The aminated PVC was taken as scaffold for covalently immobilizing the cell adhesive Asp-Gly-Asp-Ser peptide (a RGD peptide), thus providing sites for cellular adhesion and proliferation of fibroblasts. Compared with untreated and PEGylated PVC surfaces, the RGD-immobilized PVC one demonstrated excellent attachment of fibroblasts; surface coverage of fibroblasts after three weeks was as follows: 1.3% on untreated surface, 0.43% on PEGylated surface, and 53% on RGD-modified surface. PEGylation, which provides greater hydrophilicity, prevented the cellular adhesion and acted as antifouling means. The latter fact has been medically valorized in blood contacting devices that may inhibit the adhesion of platelets [77]. Stachelek and his co-workers [78] applied a multistep methodology to covalently append a recombinant CD47 protein onto medical grade PVC surface *via* thiol groups of its lysine moiety (Figure 4). The as-made PVC-CD47 exhibited blood contacting properties, preventing the adhesion and activity of platelets and neutrophils, the cellular blood components.



**Figure 4:** The immobilization of CD47 protein on PVC *via* lysine unit.



Zhao Qiang *et al.* [79] grafted poly(*N*-vinylpyrrolidone) (PVP) and poly([2-(methacryloyloxy)ethyl]dimethyl-(3-sulfopropyl) ammonium hydroxide (PMEDSAH) onto PVC surface *via* dopamine methacrylamide (DAMA) as a bridging spacer. The grafting polymerization of NVP and MEDSAH was conducted in the presence of AIBN and  $(\text{NH}_4)_2\text{S}_2\text{O}_8$ , respectively, at 60 °C for 6 h. The covalent link between PVC surface and DAMA, and the graft copolymers, PVC-*g*-PVP, and PVC-*g*-PMEDSAH, were confirmed by IR and XPS analyses. The modifications reduced the hydrophobicity of the parent PVC;  $\theta_w$ 's were ~75° (PVC), ~55° (PVC-DAMA), and ~30° (PVC-PVP). The antifouling properties of the modified PVC were demonstrated by fibrinogen adsorption test; fibrinogen adsorption levels were 0.76, 0.24, and 0.19  $\mu\text{g}/\text{cm}^2$  for PVC, PVC-*g*-PVP, and PVC-*g*-PMEDSAH, respectively, a 68-75% antifouling efficiency of the modified PVCs.

The grafted amphiphilic copolymer poly(vinyl chloride)-*graft*-poly(oxyethylene methacrylate) (PVC-*g*-PPEOM), synthesized as shown below (Eq. 37), was experimented in protein, bacteria, and cell adhesion [80]. Hydrophilicity, which is due to PEG segments, increased with PEOM content; the water contact angle of the copolymer with these molecular weights was 51.35° against that for PVC (113.43°). The adhesion of BSA, *Escherichia coli* (*E. coli*), *Staphylococcus aureus* (*S. aureus*), *Bacillus cereus* (*B. cereus*), and mouse embryonic fibroblast (MEF) cells was reduced by coating the tested surface with graft copolymer PVC-*g*-PPEOM; the BSA adsorption dropped from 170 to 22 mg/g.

Chinese workers [81] covalently immobilized *N*-methylimidazole (N-mim) on surface and bulk of PVC, through a nucleophilic substitution mechanism, to end up with hydrophilic ionic liquid-PVC hybrids, PVC-NmimCl. The operation consisted of reacting *N*-methylimidazole with PVC in toluene at 70 °C for 48 h (Eq. 11). Degrees of immobilization reached 2.8 and

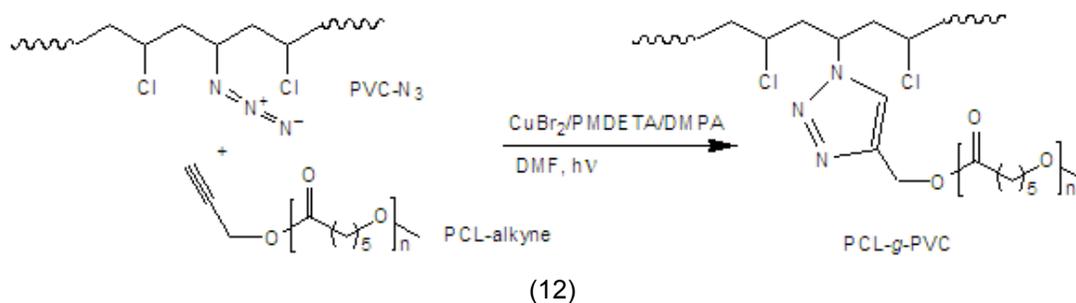
15.1% on surface and bulk, respectively. Selective adsorption of proteins by bulk PVC-NmimCl with 10.8% grafted N-mim; almost 95% adsorption of basic proteins (lysozyme, cytochrome c, hemoglobin), whereas that for acidic ones (immunoglobulin G, bovine albumin serum, transferrin) was insignificant (0-4%). The protein adsorption efficiency was found to drop at a pH higher than the isoelectric point of the particular protein, and an insignificant change in adsorption was observed at an ionic strength higher than 0.1 mol/L.

## 5. FUNCTIONALIZATIONS FOR MORE CHEMISTRY

### 5.1. Click Chemistry

Photoinduced CuAAC click chemistry was applied for the synthesis of poly( $\epsilon$ -caprolactone)-grafted PVC (PCL-*g*-PVC) [82]. Azidation of PVC ( $M_n = 57\ 800$  g/mol) took place with sodium azide in DMF-water at 60 °C for 48 h (Eq. 12); the yield was 86.3% and 10% of chlorine atoms were substituted by azide groups. Click chemistry between poly( $\epsilon$ -caprolactone)-alkyne ( $M_n = 8\ 000$  g/mol) and PVC-N<sub>3</sub> proceeded in the presence of CuBr<sub>2</sub>, *N*, *N*, *N'*, *N''*, *N'''*-pentamethyldiethylenetriamine (PMDETA), and 2-dimethoxy-2-phenyl acetophenone (DMPA), in DMF and irradiation for overnight; the yield of the grafted copolymers was about 81% and the grafting efficiency was 89%. The glass transition temperatures  $T_g$ 's of PVC-N<sub>3</sub> and the graft copolymer (PCL-*g*-PVC) were measured to be 87 and 76 °C, respectively, along with the melting point  $T_m$  of grafted PCL detected at 47 °C; PCL could have acted as a macromolecular plasticizer for PVC.

Taking advantage of the existence of polyene sequences (~10-30 conjugated double bonds) in PVC formed during its processing, Iván *et al.* [83] were able to thiolate PVC through a thiol-ene click reaction (Eq. 13). The thiolation of PVC proceeded in THF with thiol-acids, thiol-esters, or thiol-alcohols *via* radical initiation using AIBN inifer.



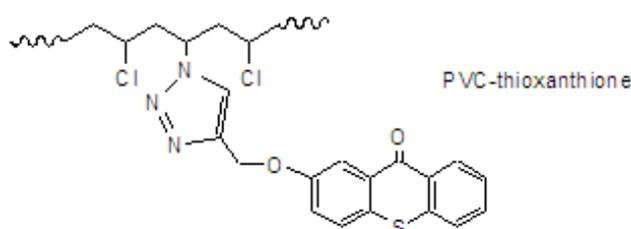
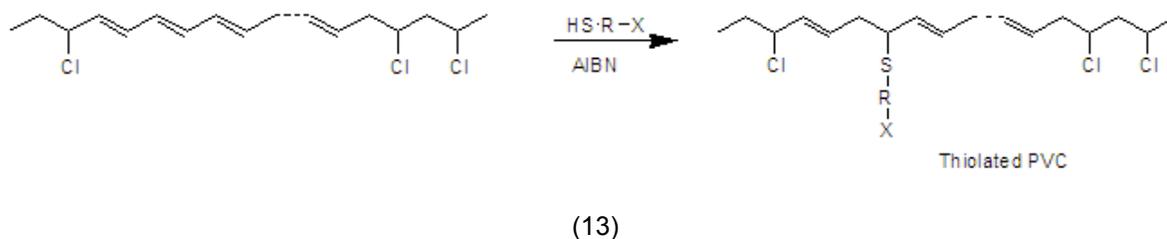
Akat and Ozkan [84] prepared a macrophotoinitiator using click reaction between azidated PVC and 2-(prop-2-yn-1-yloxy)-9*H*-thioxanthen-9-one in the presence of copper (II) bromide, 2,2'-bipyridine, and in DMF at room temperature for 24 h. The as-made PVC-thioxanthone (Figure 5) was characterized by an absorption band at  $\lambda_{\max} = 340\text{--}400$  nm, corresponding to the thioxanthone moiety, and was thermally stable up to a temperature of 250 °C. The PVC-thioxanthone was efficient in photoinitiating the polymerization of vinyl monomers, namely methyl methacrylate (MMA), methacrylic acid (MAA), styrene (St), and *N*-vinylpyrrolidone (NVP) in DMSO and in the presence of triethylamine as hydrogen donor. Of these monomers styrene, unexpectedly, could not be photopolymerized under the cited conditions. The average mass molar  $M_n$  of the corresponding polymers were: 19 500 g/mol (PMMA), 80 877 g/mol (PMAA), and 101 200 g/mol (PNVP). The PVC-thioxanthone proved to be useful in photocuring bifunctional monomers such as 1,1,1-tris-(hydroxymethyl)-propan-triacrylate, resulting in a crosslinked network, a technique widely applied in UV-curable varnishes.

## 5.2. Poly(vinyl chloride)-supported Catalysts

### 5.2.1. Poly(vinyl chloride)-supported Metals

Polymer-supported catalysts are still assisting chemical reactions whenever the related advantages in their use are sought for. Nowadays, not only does the novelty lie on the outcome of the reactions but also on the use of the supported metals in their nanoparticle form. The uses of polymer-supported transition metal catalysts in some coupling reactions were reviewed [85].

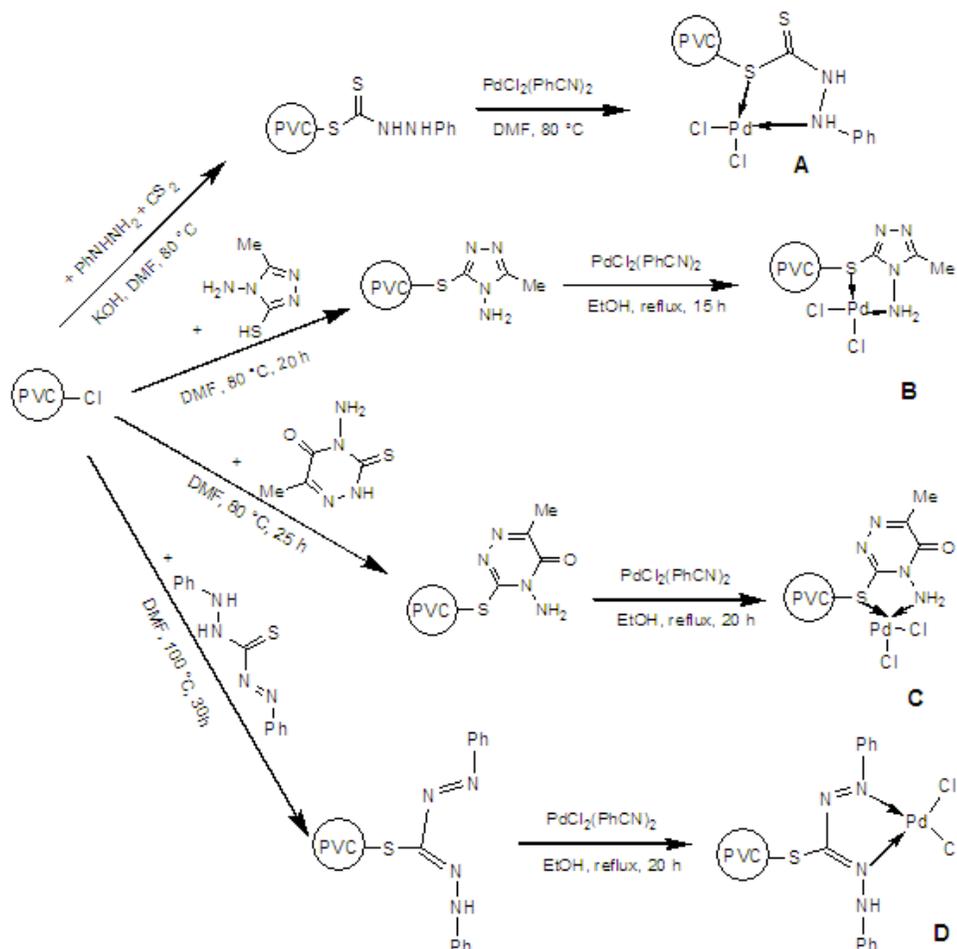
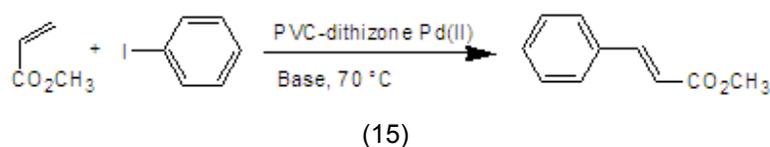
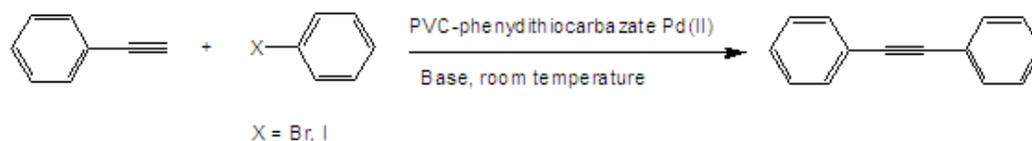
Copper- and solvent free Sonogashira and Heck coupling reactions were promoted by PVC-supported palladium complexes as investigated by Bakherad's school [86-89]. Bidentate nitrogen- and sulfur-containing chelating ligands, phenyldithiocarbamate, 4-amino-3-methyl-5-mercaptotriazole, 4-amino-6-methyl-3-thio-1,2,4-triazine-5-one, and 1,5-diphenyl-3-thiocarbazono (dithizone), were covalently bound to PVC matrix, followed by complexing  $\text{Pd}^{\text{II}}$  as sketched in Scheme 3. Such functionalizations occurred generally in DMF at 80 °C for 20-30 h and the complexing reactions were accomplished with  $\text{PdCl}_2(\text{PhCN})_2$  in



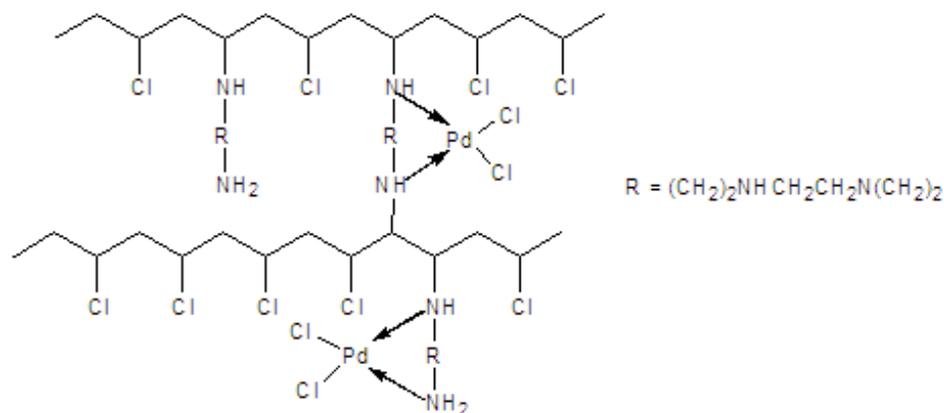
**Figure 5:** Chemical structure of PVC-thioxanthone.

DMF/80 °C or EtOH/reflux for 15-20 h. The PVC-chelator-Pd<sup>II</sup> complexes (**A**, **B**, **C**, **D**) were found to contain palladium in amounts of 2.2-4.9% g/g as evidenced by inductively coupled plasma atomic emission spectroscopy (ICP-AES), and nitrogen contents (indirect measure of degree of substitution) in the range of 2.5-4.6%. The PVC-chelator-Pd<sup>II</sup> complexes were bright yellow and stable in an aerobic ambiance. The catalytic activities of these complexes in Sonogashira and Heck coupling reactions shown in

Eqs. 14 and 15 depended on the base used. For the complexes **A** and **B**, the best yields of Sonogashira reaction obtained were 97-99% when using ethanolamine (for complex **A**), Et<sub>3</sub>N (for complex **B** and **D**), and piperidine (for complex **C**) as bases. The retention of catalytic activities of the PVC-chelator-Pd<sup>II</sup> complexes was excellent, and the yields dropped to only 93-94% after six runs, with a negligible palladium leaching (an utmost leaching of 10%).



**Scheme 3:** Pathways for PVC-supported Pd complexes.

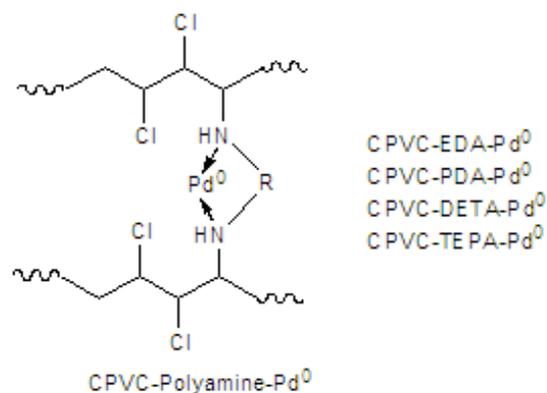


**Figure 6:** PVC-triethylenetetramine supported palladium complex.

Cui and his collaborators [90] realized a PVC-triethylenetetramine supported palladium complex (PVC-TETA-Pd) (Figure 6). Stirring the dispersion of PVC in triethylenetetramine at 80 °C for 3 h under aerobic conditions yielded PVC-TETA as a brown powder. Reaction of PVC-TETA with PdCl<sub>2</sub> took place in acetone at 60 °C for 72 h, affording PVC-TETA-Pd complex. The nitrogen content in this complex was 5 mmol/g and Pd content was 0.32 mmol/g. The complex was thermally stable up to 250 °C. The catalytic activity of PVC-TETA-supported Pd<sup>II</sup> in Heck coupling reaction, involving bromobenzene and styrene, was high as revealed by the quantitative yield obtained (99.8%) at a temperature of 120 °C for 8 h under nitrogen atmosphere and in the presence of (*n*-Bu)<sub>3</sub>N as a base. Other bases such as Et<sub>3</sub>N, Na<sub>2</sub>CO<sub>3</sub>, NaHCO<sub>3</sub>, and NaOAc, were experimented and, under identical conditions, the yields were 80.1, 74.4, 95.2, and 99.7%, respectively.

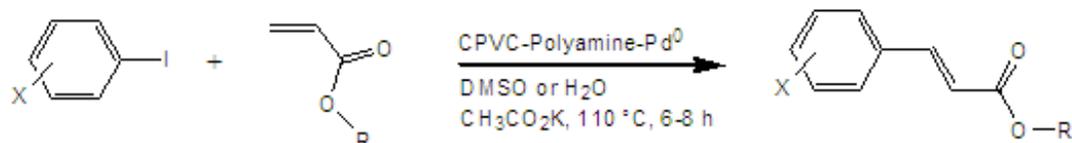
Zhang and his collaborators [91] aminated the chlorinated PVC (CPVC) in form of nanofiber mats made by electrospinning technique, with ethylenediamine (EDA), 1,3-propylenediamine (PDA), diethylenetriamine (DETA), tetraethylenepentamine (TEPA). These amine units served as ligands for supporting Pd<sup>0</sup> (Figure 7). The immobilization extents of Pd<sup>0</sup> were insignificant on the bare CPVC nanofiber mat and about 3 wt.% on the aminated ones. Both amination and immobilization of Pd<sup>0</sup> did not modify the morphology of the CPVC nanofiber mat. However, the mechanical properties of the aminated CPVCs were enhanced; the tensile strength for CPVC-PDA was higher than that of CPVC nanofiber mat, 11 versus 6.5 MPa. These aminated CPVC-nanofiber mat-supported palladium compounds were examined for their catalytic activity in Heck coupling reactions between aromatic halides and acrylates (Eq. 16). The conversions and

the yields in organic solvent and water were quantitative, mostly higher than 90%. Also, the activity catalytic of these polymer-supported Pd<sup>0</sup> could be retained after the fifth run.



**Figure 7:** Immobilized Pd<sup>0</sup> on PVC crosslinked by polyamines.

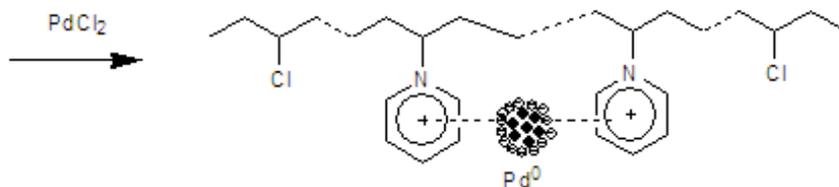
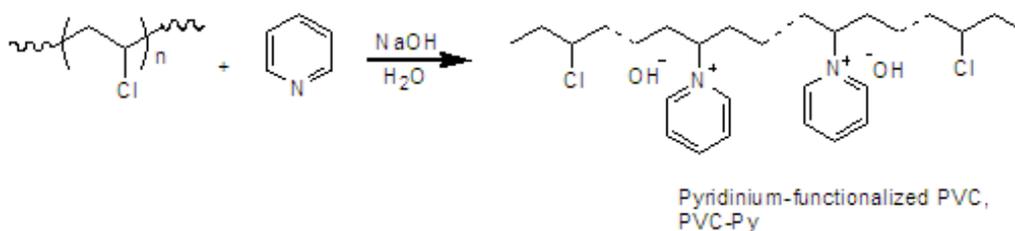
Zhou and Li [92] were able to immobilize palladium nanoparticles onto pyridinium-functionalized PVC (PVC-Py) as traced in Eq. 17. Such PVC modification was realized in water using sodium hydroxide and an excess of pyridine and at a temperature of 80 °C. An *in situ* reduction of ethanolic solution of palladium chloride in the presence of PVC-Py afforded the immobilized palladium nanoparticles Pd<sup>0</sup>, PVC-Py-Pd<sup>0</sup>. The latter system was thermally stable up to a temperature of 174 °C. The catalytic activity PVC-Py-Pd<sup>0</sup> in the Suzuki-Miyaura cross-coupling reaction of aryl halides and arylboronic acid (Eq. 18) was affected by the nature of base employed in this reaction and time. In general, the yields were moderate to excellent and the best one (99%) was observed with potassium carbonate and a time of 1 h for nitrobromobenzene/phenylboronic acid. Retention of catalytic activity of PVC-Py-Pd<sup>0</sup> was demonstrated to occur for six runs.



R = Me, *n*-Bu

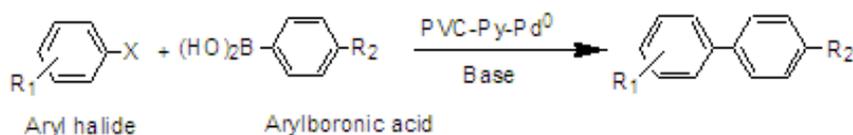
X = H, F, Br, Cl, Me, NO<sub>2</sub>

(16)



Pyridinium-functionalized PVC - supported palladium nanoparticles, PVC-Py-Pd<sup>0</sup>

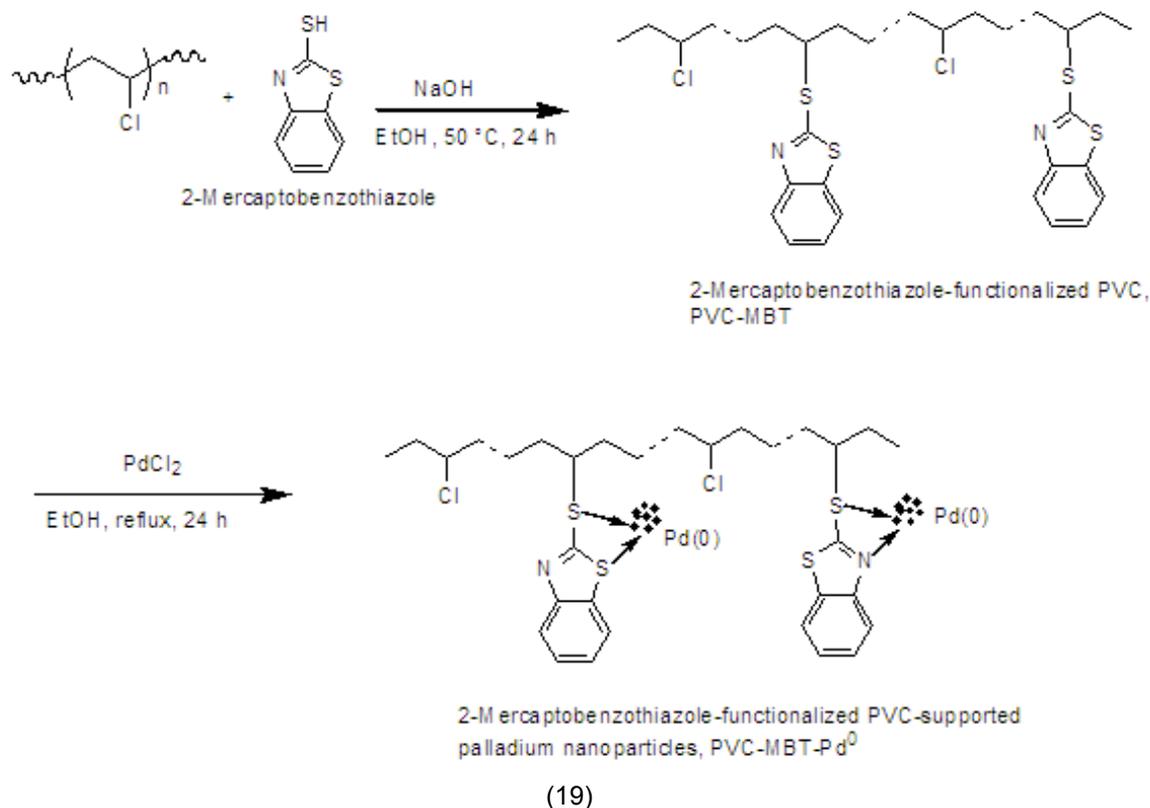
(17)



(18)

Covalent modification of PVC with 2-mercaptobenzothiazole (MBT) provided a polymer metal complex with a bidentate ligand [93]. The functionalization reaction was run in ethanol and in the presence of sodium hydroxide, at 50 °C for 12 h (Eq. 19). Nanoparticles of palladium (40-60 nm) were complexed to the modified PVC, PVC-MBT, by reaction with PdCl<sub>2</sub> in ethanol at reflux for 24 h. The resin PVC-MBT-Pd<sup>0</sup>, obtained with a palladium content of 0.195 mmol/g, was thermally stable up to ~223 °C. This polymer complex catalyzed efficiently the Suzuki-Miyaura coupling reaction between iodobenzene and

phenylboronic acid, and the yields depended on the solvent, the base, the temperature, and the catalyst concentration. Of the screened solvents, alcohols (MeOH, EtOH, *i*PrOH) led to moderate to good yields (43-84%) after short reaction times, and dioxane, THF, DMF, toluene, acetonitrile (MeCN), and DMSO were poor to ineffective media (0 to 6% yield). The appropriate base, temperature, and catalyst concentration as far as the yield of the reaction is important, were potassium carbonate, 80 °C, 0.1 mmol/g, respectively, to give the maximum yield (84%) for a reaction time of 5 min. However, the yields of a

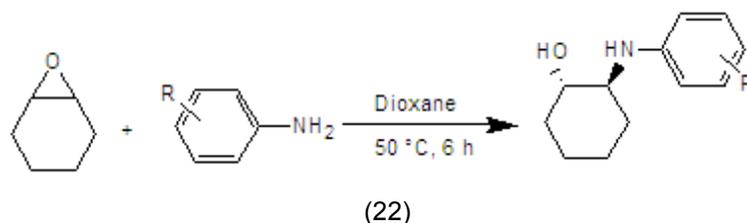
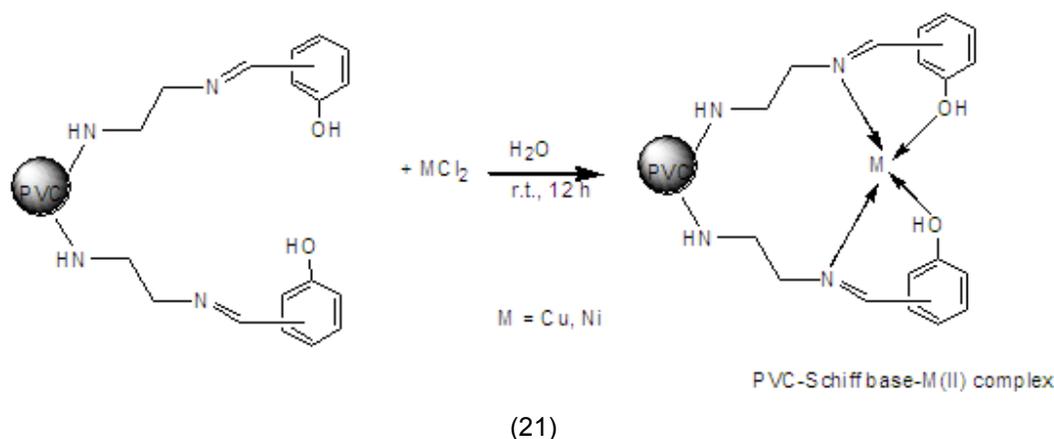
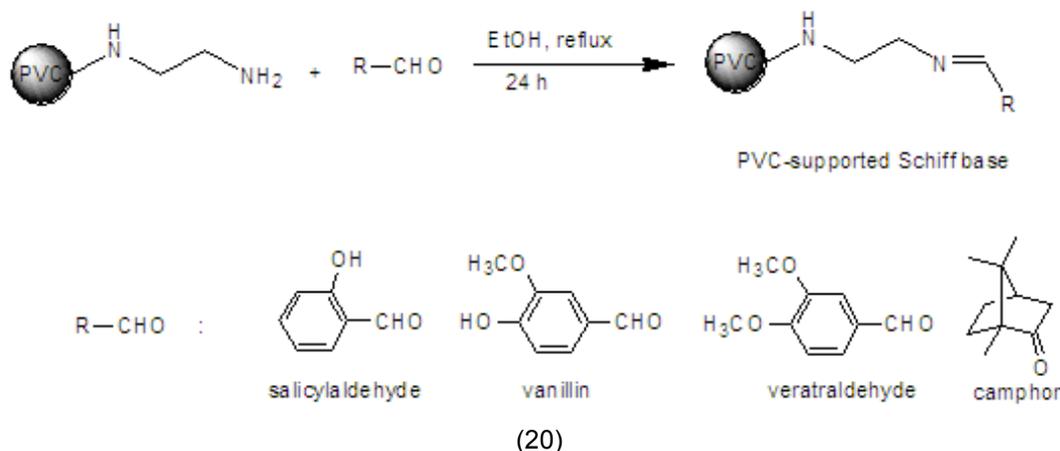


number of Suzuki-Miyaura coupling reactions between suitably substituted iodobenzene and derivatives of phenylboronic acid were quantitative (up to 99%) under the following conditions: PVC-MBT-Pd<sup>0</sup> (0.001 mmol of palladium), K<sub>2</sub>CO<sub>3</sub> as a base, 95% ethanol as a solvent. PVC-MBT-Pd<sup>0</sup> could retain its catalytic activity after a number of cycles; the yield of the reaction between 4-iodoanisole with phenyl boronic acid decreased from 98% to only 97% after the fifth cycle.

Hemantha and Sureshbabu [94] described a simple protocol to make PVC-supported Pd<sup>0</sup> nanoparticles without a prior functionalization of PVC with a chelating agent. A dispersion of PVC/PdCl<sub>2</sub> in ethanol was treated, after a reflux, with NaHB<sub>4</sub> to reduce Pd<sup>II</sup> to Pd<sup>0</sup> for few minutes. Pd<sup>0</sup> nanoparticles were formed within a size of 20 nm and their loading capacity in the resin was about 12.8% as estimated by ICP-OES. The resin PVC-Pd<sup>0</sup> was of value as catalyst in the reduction of a range of functional groups (nitro, azide, aldehyde, ketone,  $\alpha$ ,  $\beta$ -unsaturated ester, and propargyl) and in the deprotection of some commonly used blocking groups in peptide chemistry. In general, the reduction required only 25 to 60 min (compared to 3-4 h with conventional catalyst Pd/C for the hydrogenation of *p*-nitroaniline) with quantitative yields (92-100%). The PVC-supported Pd<sup>0</sup> nanoparticles were effective in the deprotection of peptide protectors such as

carboxybenzyl (Cbz or Z), benzyl ester and benzyl ether; the deprotection of a number of protected peptide using PVC-Pd<sup>0</sup> occurred during hydrogenation with high yields, 68-100%, within a period of time of 25-110 min.

Kajal's group [95] anchored four Schiff bases by reacting the PVC-bound ethylenediamine (PVC-EDA) with salicylaldehyde, vanillin, veratraldehyde, and camphor, in ethanol at reflux for 24 h (Eq. 20). The resulting PVC-supported Schiff bases were the platforms for making copper and nickel complexes by reaction with CuCl<sub>2</sub> and NiCl<sub>2</sub> in water at room temperature (Eq. 21). These PVC-supported transition metals were thermally stable up to 160-200 °C. Their catalytic potency was experimented in the synthesis of  $\beta$ -amino alcohols by ring opening of epoxides (cyclohexene oxide, styrene oxide, 2-butene oxide, epichlorohydrin) with amines (aniline and methylated anilines), such as the one depicted in Eq. 22. The yields for the reaction between cyclohexene oxide and aniline were higher with nickel-based catalysts (60-94%) than those with copper-based ones (35-70%). The ring opening reaction of the different epoxides with different anilines in the presence of PVC-supported nickel through Schiff base of salicylaldehyde afforded the corresponding  $\beta$ -amino alcohols in quantitative yields (80-93%). A negligible loss of catalytic activity of



the latter catalyst was observed for the same reaction after four cycles; the yield dropped from 93 to 92%.

### 5.2.2. Poly(vinyl chloride)-supported Organo-catalysts

Poly(vinyl chloride)-supported pyrrolidine-thiourea (Figure 8) was synthesized and valorized as catalyst in the direct asymmetric aldol reaction between cyclohexanone and arylaldehydes in aqueous medium (Eq. 23) [96]. PVC was functionalized with tetraethylenepentamine, followed by reaction with the product of the reaction of the synthesized Fmoc-L-proline with  $\text{SO}_2\text{Cl}_2/\text{NH}_4\text{SCN}/\text{PEG 400}$  (Fmoc = fluorenylmethyloxycarbonyl). The aldol reaction between cyclohexanone and *p*-nitrobenzaldehyde in

the presence of the PVC-supported pyrrolidine-thiourea occurred efficiently both in organic and aqueous media; the yields were 70, 63, and 58% in petroleum ether, water/DMSO, and water, respectively, with an enantioselectivity (enantiomer excess, ee) of 90, 69, and 94%, respectively. It was claimed that such reaction happened at the interface between the PVC resin and the aqueous phase. Also, the thiourea group of the catalyzing site played a major role in the activity and selectivity of the aldol reaction. The catalytic activity of the PVC-supported organocatalyst was retained after three runs as revealed by the good yields and high stereoselectivities.

Amination of PVC was also undertaken by Sreekumar and his co-workers [97] using an excess

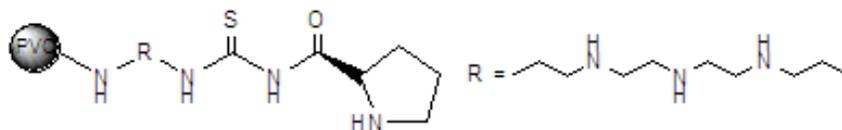
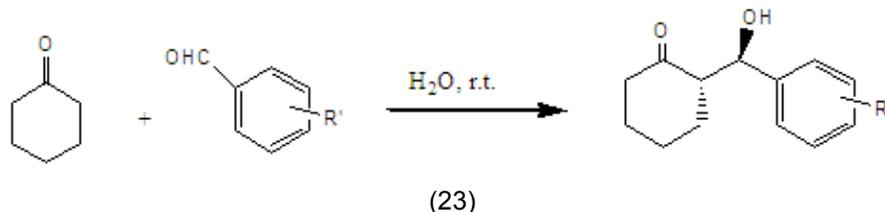


Figure 8: PVC-supported pyrrolidine-thiourea.



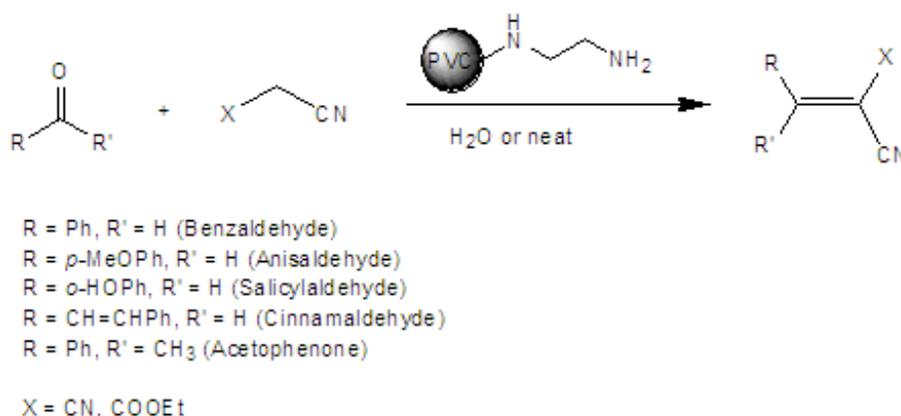
amount of ethylene diamine and at 30 °C and for 2 h. The light yellow PVC-NH<sub>2</sub> was found to contain 5 mmoles of NH<sub>2</sub> groups per gram of resin, and to be less stable than pristine PVC, a decomposition temperature  $T_d$  of 200 against 250 °C. The PVC-NH<sub>2</sub> was evaluated as effective polymer-supported catalyst for a number of Knoevenagel condensation reactions in water and in solventless conditions (Eq. 24). When run in water, the reactions afforded yields in the range of 95 to 100% within 20 to 30 min, whereas the yields for the neat reactions were 100% within shorter times (3 to 8 min), with the exception of the reaction of acetophenone with ethyl cyanoacrylate (yield 98 % within 8 min). It was claimed that this PVC-supported catalyst could be recycled five times without a substantial loss of its catalytic activity.

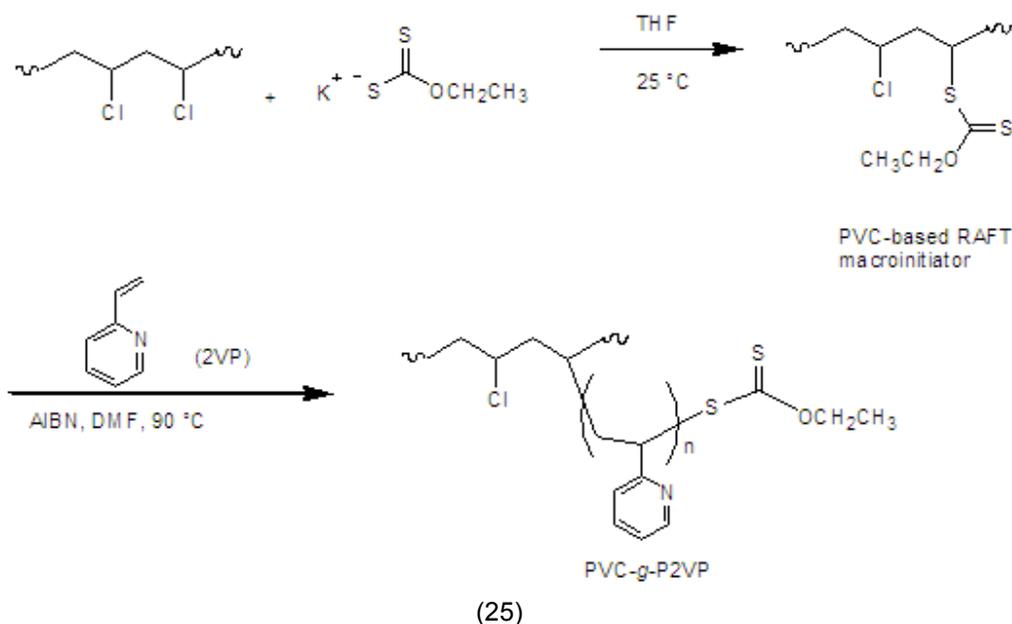
### 5.3. PVC-Graft Copolymerization

PVC underwent several modifications to produce graft copolymers as cited above/below. Controlled radical polymerization (CRP), including ATRP, RAFT, and NMP, has been of a great interest in polymer synthesis and polymer modification. Behaving as alkyl

halide, PVC is considered a suitable starting material for designing macroinitiator for these CRP techniques. Öztürk and his group [98] applied RAFT technique to promote the grafting of poly(2-vinylpyridine) (P2VP) onto PVC. As illustrated in Eq. 25, RAFT macroinitiator was realized by reacting PVC with potassium salt of ethyl xanthogenate in THF at room temperature. About 72 of chlorine atoms per PVC chain were substituted by ethyl xanthogenate groups. The graft polymerization of 2-vinylpyridine was run in the presence of the RAFT macroinitiator in DMF and in the presence of AIBN at 90 °C. A 2VP conversion of as high as 67.35% could be reached for a reaction time of 200 min. A molecular weight  $M_n$  of 15 000 g/mol and a polydispersity index PDI of 1.84 of the PVC-*g*-P2VP copolymer were measured; the P2VP chain length varied with monomer/initiator ratio. Thermal analysis revealed a  $T_g$  of the graft copolymer of about 140 °C, a  $T_g$  higher than both of those of PVC and P2VP, 80 and 95 °C, respectively.

Poly(vinyl chloride) in its chlorinated form, CPVC, has gained a foothold in diverse applications as per its properties [99]; it is endowed with mechanical



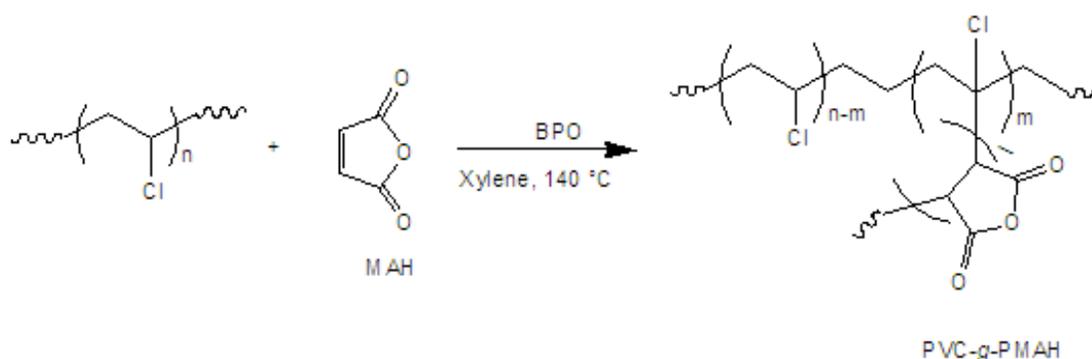
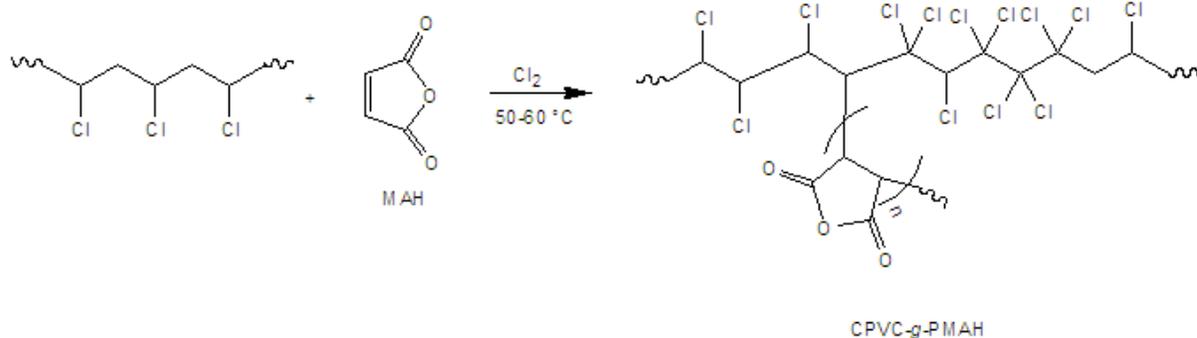


performance, flame retardancy, and heat resistance better than PVC. Its uses in hot and cold water pipes and industrial liquid handling are but the results of the latter property. The chlorine content in CPVC is high and ranged from 63 to 69%, compared with that of PVC (56.7%). Although, the synthesis of CPVC has been largely tackled, novel and improvement methodologies are progressively emerged. For example, Cheng and his group [100, 101] adopted cold plasma as free radical initiator in the gas-solid chlorination process, instead of the commonly employed UV-assisted method, and avoiding the use of fluorine gas as initiator.

Grafting of maleic anhydride (MAH) onto polymer matrixes was thoroughly reviewed by Rzayev [102]. In the aim at upgrading the mechanical strength, the softening point, and the thermal stability of chlorinated PVC (CPVC), Zhao and his collaborators [103, 104] modified CPVC by grafting with polymer of maleic anhydride (PMAH) through an *in situ* chlorinating graft copolymerization. For this purpose, a mixture of PVC/maleic anhydride in acetone was flushed with chlorine gas for 15 min at a temperature of 50-60 °C (Eq. 26). Chlorination of PVC and MAH grafting polymerization proceeded *via* radical initiation, with a concomitant polyene formation. The obtained CPVC-*g*-PMAH was found to contain 66% of chlorine atoms and had a grafting degree of 2.58 wt.% and a molecular weight  $M_w$  of  $1.6 \times 10^5$  g/mol (that for CPVC was  $1.1 \times 10^5$  g/mol). Compared to CPVC, the tensile and impact strengths of CPVC-*g*-PMAH increased by 14.5 and 34.6%, respectively. The polar PMAH grafts

engendered higher toughness and rigidity, and a very slight increase in  $T_g$  (101.19 °C for the graft copolymer against 101.7% for CPVC). The Vicat softening temperature of CPVC-*g*-PMAH was 130 °C, 15 °C higher than that of CPVC and 44 °C higher than that of PVC. Maximum weight loss for CPVC-*g*-PMAH happened at 291.6 °C, whereas that for CPVC occurred at 285.5 °C, indicating that the graft copolymer is relatively more stable than CPVC.

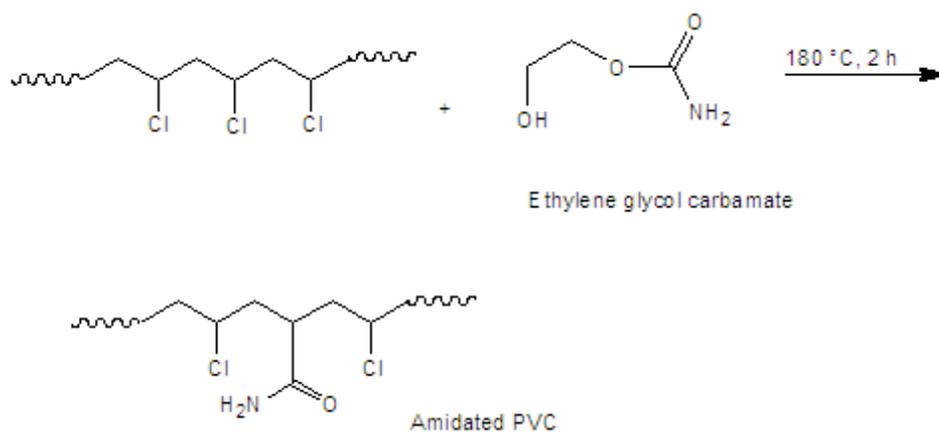
Hammiche *et al.* [105, 106] were able to graft maleic anhydride polymer (PMAH) onto PVC matrix under radical initiation. The ensued functionalized PVC-*g*-PMAH, with a proposed structure shown in Eq. 27, was conceived as a compatibilizer (or coupling agent) for the formulation of PVC/alfa composites and PVC/alfa composites. The grafting reaction was run in xylene at 140 °C for 1 h using benzoyl peroxide (BPO) as radical initiator. Grafting level of PMAH units onto PVC macromolecules was about 2.32% after 1 h of reaction and increased to 3.8 after 3 h. It was claimed that the use of PVC-*g*-PMAH in the proposed PVC/alfa composite rendered the surface more homogeneous with less cavities, suggesting an improved affinity between the polymeric binder and the alfa composite, hence a better interfacial adhesion. Overall, positive effects of PVC-*g*-PMAH on the mechanical properties of the composite were noted: a greater hardness, a 21% increase in tensile strength, a 53% increase in elongation at break, and a moderate increase of the Young's modulus as expected. In addition, the thermal stability of the composite was also enhanced of about 16% by using PVC-*g*-PMAH.

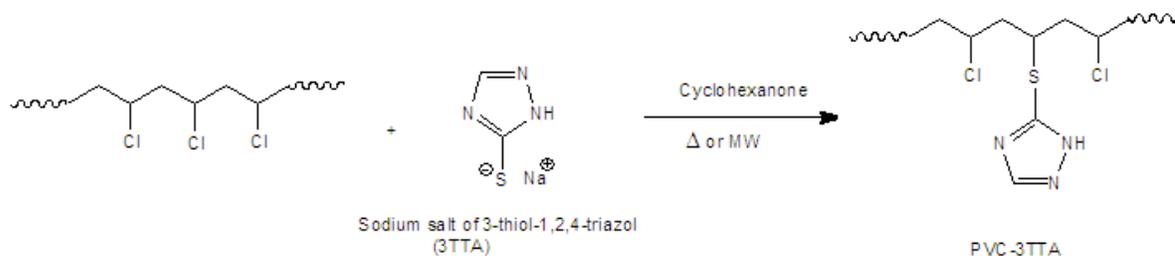


#### 5.4. Miscellaneous Functionalizations

As far as green chemistry is of a great interest, Pant and Kumar [107] provided an ecofriendly route to achieve amidated PVC. They made PVC react with ethylene glycol carbamate at 180 °C for 2 h (Eq. 28); ethylene glycol carbamate, a green amidation reagent, was formed by reaction of ethylene glycol and urea in the presence of zinc oxide as a catalyst at 180 °C for 20 min. The success of such functionalization was confirmed by energy dispersive X-ray (EDX) analysis.

Triazolyl group was appended onto PVC matrix *via* sulfide functionality by reacting PVC with sodium salt of 3-thiol-1,2,4-triazol (3TTA) in cyclohexanone (Eq. 29) [108]. Thermal process of the reaction beyond 80 °C and the microwave-assisted process at times longer than 30 min did not imply significant functionalization extents. The highest conversion degree for the former process was nearly 23% at 60 °C and two days and that for the latter one was about 46% at 80 °C and for 30 min. In the thermal process, both substitution and elimination mechanisms occurred as suggested by the





(29)

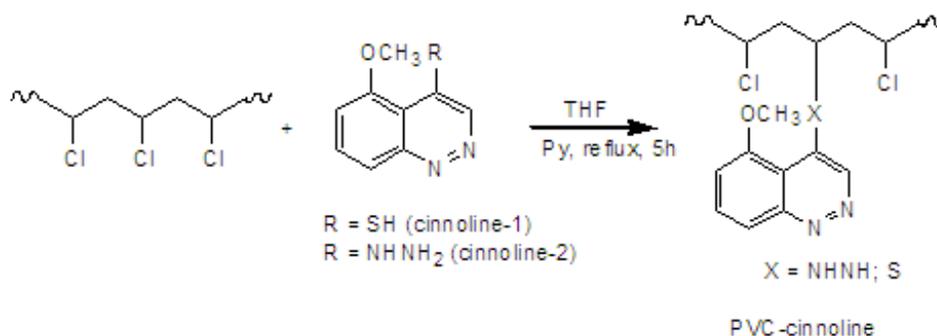
bimodal curve of the elution profile from GPC measurement (gel permeation chromatography). Molecular weights  $M_w$  of the PVC-3TTA's were lower than that of virgin PVC: 28 900 g/mol (thermal process), 35 600 g/mol (microwave-assisted process) against 56 991 g/mol; the polydispersity index (microwave-assisted process) was higher than that of PVC, 3.8 against 1.7. However, their  $T_g$ 's were measured to be greater than that of PVC: 89.8 °C (thermal process), 95.7 °C (microwave-assisted process), 84.3 °C (PVC), hinting at the plausible interactions between polymeric chains *via* hydrogen bonds established by this triazolyl functionality. Untreated PVC was found to be more thermally stable than the modified ones; PVC started to degrade at a temperature of about 250 °C, the functionalized PVC's started at 175-180 °C.

The cinnoline derivative, 5-methoxycinnoline, was affixed onto PVC backbone through thioether and hydrazine bridges upon treating PVC with 4-mercapto 5-methoxy cinnoline (cinnoline-1) and 4-hydrazine-5-methoxy cinnoline (cinnoline-2), respectively, in THF in the presence of traces of pyridine and at reflux for 5 h (Eq. 30) [109]. The as-modified PVC's, PVC-cinnoline-1 and PVC-cinnoline-2, were yellow and brown, respectively. A simultaneous functionalization with both cinnolines was attempted and afforded a brown material. The authors claimed that precipitation of the modified polymers took place after the reaction time,

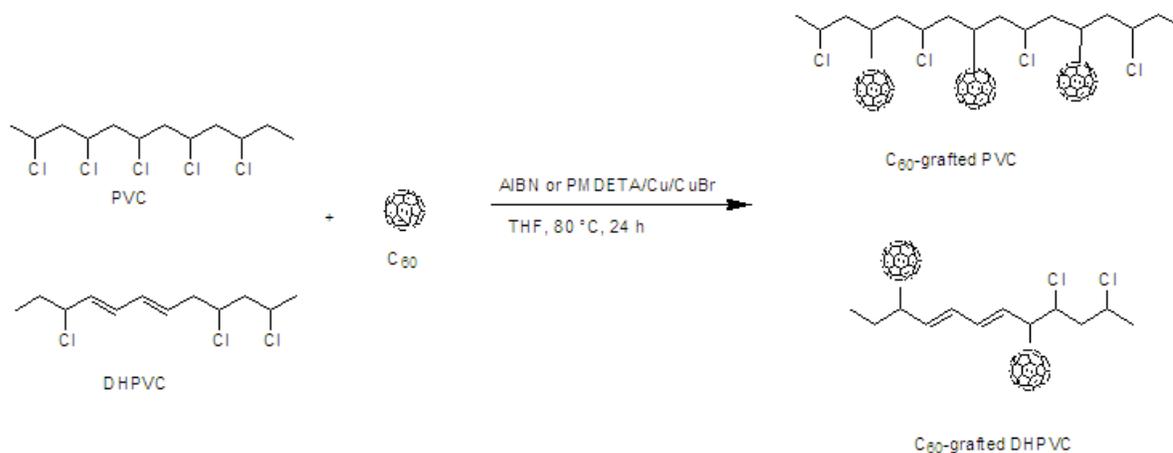
whereas their UV-visible analyses were conducted in THF. No extent of modification was advanced.

## 6. FUNCTIONALIZATION BY CARBON ALLOTROPES

Carbon nanoparticles, including carbon nanotubes, graphene, and fullerenes, have gained special attention because of their salient and unique properties. To cite but the latest and unexpected application is the water desalination [110]. As soon as they emerged, investigations on their functionalizing ability towards polymeric materials and their vulnerability to the modifications by molecular or macromolecular species have been embarked on [111- 116]. And, numerous interesting applications have been duly conceived, particularly in medicine. PVC and its dehydrochlorinated (DHPVC) form were tagged with fullerene  $C_{60}$  under normal procedure (AIBN as initiator) and atom transfer radical addition methodology (ATRA) in THF at 80 °C for 24 h (Eq. 31)[117]. The grafted  $C_{60}$  extents on PVC were 5.66 and 2.77% for ATRA and AIBN methods, respectively; that for grafted onto DHPVC using AIBN was 4.65%. All PVC- $C_{60}$ 's obtained by both procedures were soluble in THF, whereas only DHPVC- $C_{60}$ 's produced using AIBN were soluble. Dehydrochlorination and fullereneation provoked a change in the glass transition temperature of PVC ( $T_g = 78$  °C): 72 °C (DHPVC), 78 °C (DHPVC- $C_{60}$  using AIBN), 86 °C (PVC- $C_{60}$  using ATRA), 82 °C (PVC- $C_{60}$  using AIBN). The higher  $T_g$ 's



(30)

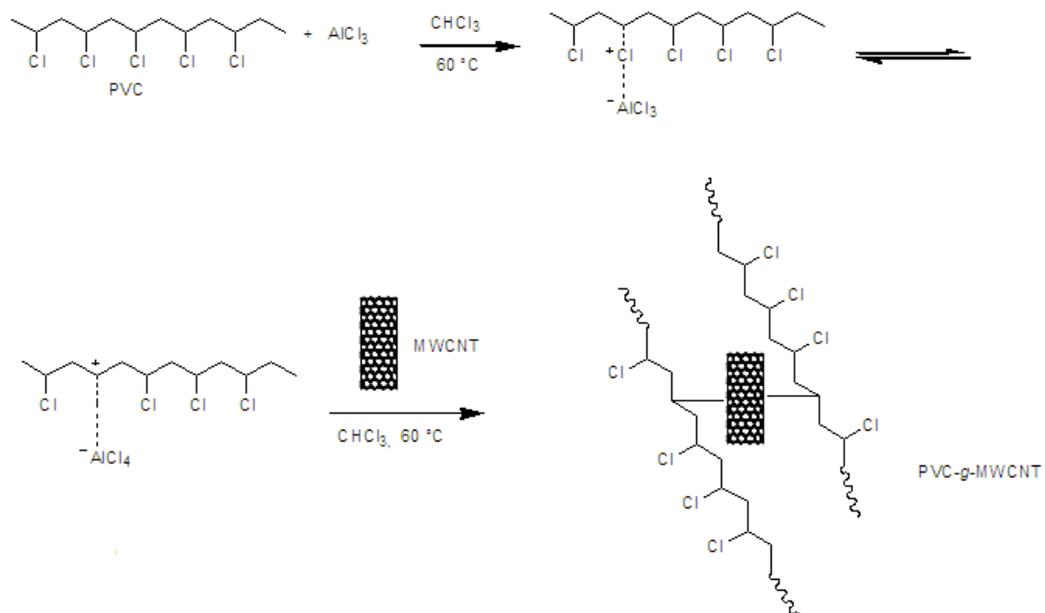


after fullerenation was ascribed to the imparted rigidity by the higher  $C_{60}$  content. Another effect of the fullerenation method was on the thermal stability of the materials; while the thermal stability of PVC- $C_{60}$  obtained *via* AIBN was more stable than PVC, the one obtained *via* ATRA was less stable. The electrical conductivities of the doped  $C_{60}$ -grafted PVC's were larger than that of doped PVC ( $\sigma = 4 \times 10^{-8} \text{ S cm}^{-1}$ ):  $1.73 \times 10^{-5} \text{ S cm}^{-1}$  for ATRA process and  $1.18 \times 10^{-5} \text{ S cm}^{-1}$  for AIBN process. However, the conductivities of doped  $C_{60}$ -grafted DHPVC's were lower ( $\sigma = 1.38 \times 10^{-5} \text{ S cm}^{-1}$ ) than that of the doped DHPVC ( $\sigma = 1.81 \times 10^{-5} \text{ S cm}^{-1}$ ). In general, the conductivity of the  $C_{60}$ -grafted PVC and  $C_{60}$ -grafted DHPVC increased linearly with  $C_{60}$  content. Inasmuch as being an electron donor,  $C_{60}$ -grafted DHPVC was lately reported to be a basic component in a system destined for bulk heterojunction solar (BHJ) cells design, [118]; the power conversion efficiency of the BHJ solar cell using [6,6]-phenyl- $C_{61}$ -butyric acid methyl ester (PCBM) as an electron acceptor alone increased from 0.5 to 1.34%, after the adjunction of  $C_{60}$ -g-DHPVC.

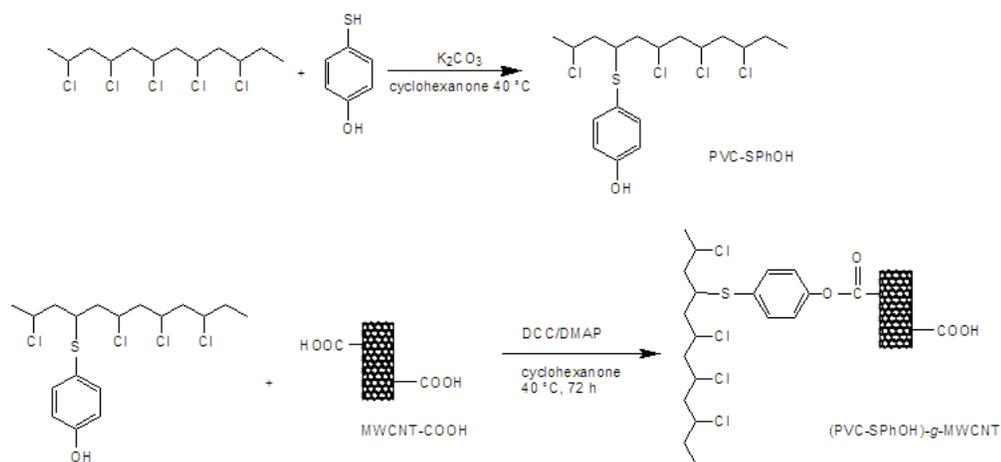
Wu and Liu [119] applied the Friedel-Crafts alkylation to graft PVC onto the surface of multi-walled carbon nanotubes (MWCNT), providing PVC as alkylating agent. The reaction involved the use of anhydrous aluminum chloride as catalyst in the dispersed medium containing PVC and MWCNT in chloroform/THF system (Eq. 32); the reaction was run at 60 °C for a varying reaction time. The grafting efficiency fluctuated between 117 and 246% for a reaction time ranging between 6 and 30 h. The thermal analysis showed that 38 to 49% weight loss occurred at 400 °C, while those of PVC and MWCNT were 67 and 5%, respectively. The two characteristic Raman bands of MWCNT, the graphitic bands, appeared in the PVC-

g-MWCNT at  $1290 \text{ cm}^{-1}$  (D-band, assigned to  $sp^2$  hybridization) and  $1600 \text{ cm}^{-1}$  (G-band assigned  $sp^3$ -bonded carbon atoms) with an intensity ratio  $I_D/I_G$  of 1.68, a ratio higher than that in unmodified MWCNT (0.74), hinting at extra  $sp^3$ -hybridized carbons, that is the success of such alkylation. PVC grafting had helped the dispersion of MWCNT in organic solvents; indeed, PVC-g-MWCNT was found to be easily and homogeneously dispersed in polar solvents such as THF, while MWCNT was not.

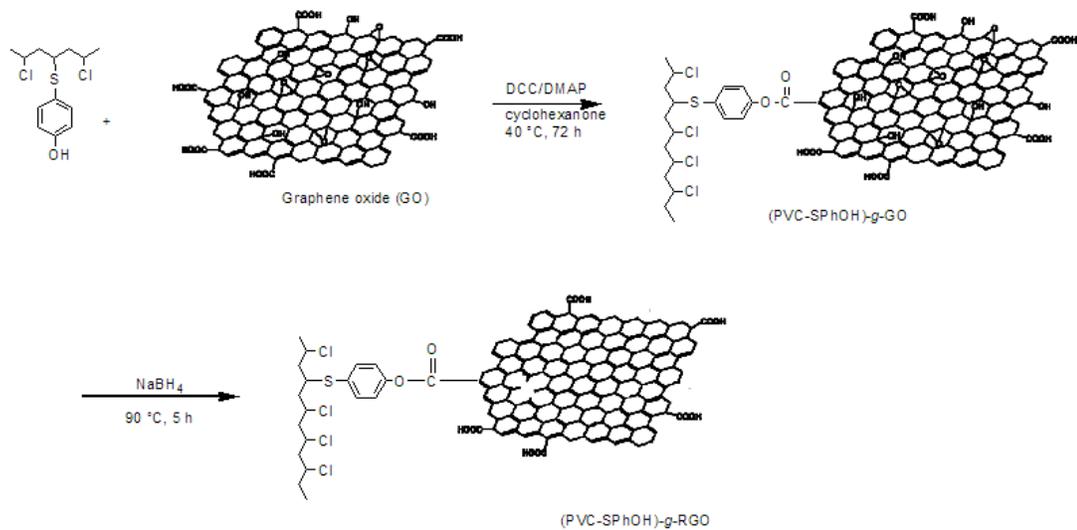
Salavagione *et al.* [120] linked MWCNT to PVC *via* a thiophenol spacer. MWCNT, carboxylated by an ozonation protocol, was esterified with thiophenolated PVC (PVC-SPhOH) in cyclohexanone under *N,N*-dicyclohexylcarbodiimide (DCC)/4-(dimethylamino)pyridine (DMAP) chemistry as illustrated in Eq. 33. The thiophenolation of PVC was carried out with 4-hydroxythiophenol in the presence of  $K_2CO_3$  in cyclohexanone at 40 °C; the maximum thiophenolation degree was in the range of 15% after a reaction time of 40 min. The thiophenolated PVC with this degree of modification displayed a  $T_g$  (101 °C) higher than that of virgin PVC (78.8 °C), indicating that the thiophenol group increased the stiffness of the polymer. The modification occurred stereoselectively in the isotactic triad of the PVC polymer; the isotactic triad content dropped from 0.210 in the unmodified PVC to 0.162 in the modified one, whereas the syndiotactic triad content rose from 0.292 to 0.337. The obtained gray-colored (PVC-SPhOH)-g-MWCNT contained about 1.3 wt.% of MWCNT, and was soluble in THF, cyclohexanone, 1,2-dichlorobenzene, and DMF. The (PVC-SPhOH)-g-MWCNT was found to be more thermally stable than the parent PVC; while the latter one started to degrade at 265 °C, the former one did at 290 °C.



(32)



(33)



(34)

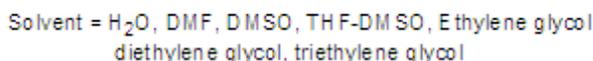
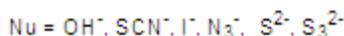
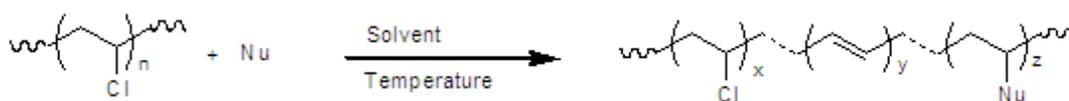
By applying the same experimental protocol (Eq. 34), Salavagione and Martínez [121] succeeded in binding stereoselectively graphene oxide (GO) to PVC to produce the nanocomposite (PVC-SPhOH)-*g*-GO; the isotactic sequence increased from 1.14 for the parent PVC to 1.32 for the modified one, PVC-SPhOH. (PVC-SPhOH)-*g*-GO underwent reduction by means of NaBH<sub>4</sub> to afford (PVC-SPhOH)-*g*-RGO. The *T*<sub>g</sub> of the (PVC-SPhOH)-*g*-RGO containing 1.4 wt.% RGO was about 25 °C higher than that of the untreated PVC, 106.7 versus 81.8 °C, implying the greater rigidity conferred by the RGO entities. The thermal degradation of (PVC-SPhOH)-*g*-RGO was observed at 265 °C, while that for PVC was at 290 °C, and such stability decreasing was imputed to the stereoselective substitution. The storage moduli for PVC-SPhOH, (PVC-SPhOH)-*g*-RGO (1.4 wt.% RGO), (PVC-SPhOH)-*g*-GO, and (PVC-SPhOH)-*g*-MWCNT (1.3 wt.% MWCNT) were measured as 1100, 2326, 2960, and 1830 MPa, respectively; the RGO units demonstrated improved interfacial interactions. The lower storage modulus of (PVC-SPhOH)-*g*-RGO compared with that of (PVC-SPhOH)-*g*-GO was reasoned to be due to the higher formation of hydrogen bonding of OH groups of the nucleophile with oxygenated groups in GO. On the opposite, PVC macromolecules were grafted on graphene sheets using *n*-BuLi as initiator, to design graphene-based nanocomposites [122].

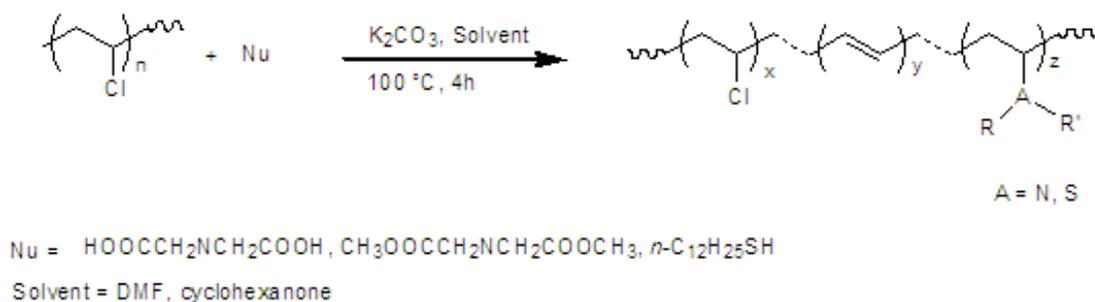
## 7. FUNCTIONALIZATION FOR PVC WASTE RECYCLING

Plastic wastes are valorized in many applications such as wood composites [123], concrete mixes [124], and fuel in the co-pyrolysis of biomass [125]. One way to manage PVC wastes was through its nucleophilic modifications [126]. Towards this objective, Grause's group [127-134] tackled several nucleophilic modifications of the polymer (Eq. 35).

Thiocyanation reaction was conducted on two PVC grades, flexible and rigid ones, in ethylene glycol under phase transfer catalysis (tetrabutylammonium bromide, TBAB) using a SCN<sup>-</sup>/Cl<sub>PVC</sub> molar ratio of 4, and at a temperature range of 150-190 °C [128]. Both substitution and elimination occurred to certain extents and their ratio was function of reaction time, temperature and TBAB concentration. For both PVC grades, all these factors accelerated the dehydrochlorination rate. It was insignificant at 150 °C but was about 93-97% at 190 °C after 6 h in the absence of TBAB, and increased to 50% (150 °C and after 12 h) and nearly 100% (190 °C and after 3 h) in the presence of TBAB. The substitution yields were improved in the presence of TBAB, particularly at 150 °C: from 3.2 to 24.6% for the flexible PVC, and from 0.9 to 23.2% for the rigid one. The substitution/elimination ratios were found to slightly rise in the presence of the phase transfer agent. Lower temperatures were found to favor substitution. In other places [129-132], it was reported that modifications of rigid and flexible PVC with hydroxide, azide, and thiocyanate using ethylene glycol as solvent at 190 °C resulted in ~20% substitution and ~40-80% elimination. However, the iodide ion promoted almost only elimination (~70% for rigid PVC and ~20% for flexible PVC). The explanation was advanced in terms of nucleophilicity of the nucleophiles; the similar results with hydroxide, azide, and thiocyanate could be due to their close nucleophilicities, around of 4.0-4.77 based on the Swain-Scott equation. The behavior of iodide ion, a stronger nucleophile (nucleophilicity of 5.04), was due to its elimination by zipper mechanism, favoring the dehydrochlorination.

The impact of solvent on the substitution/elimination ratio in the thiocyanation of PVC was undertaken [130]. It was revealed that DMF favored the substitution mechanism and DMSO favored the elimination one. However, addition of THF to DMSO in 1:2 ratio





(36)

enhanced the substitution at ambient temperature. In DMF solution, higher temperatures were positive for increasing substitution yields. Thiocyanation of PVC at elevated temperatures led to the polyene formation, resulting in colored materials from white up to black, and to the isomerization of thiocyanate  $-\text{S}-\text{C}\equiv\text{N}$  to isothiocyanate  $-\text{N}=\text{C}=\text{S}$ ; indeed, an IR absorption band at around  $2100\text{ cm}^{-1}$ , attributed to thiocyanate group, was observed when working at  $150\text{ }^\circ\text{C}$ , and shifted to  $2050\text{ cm}^{-1}$  at  $190\text{ }^\circ\text{C}$ , a band assigned to isothiocyanate group.

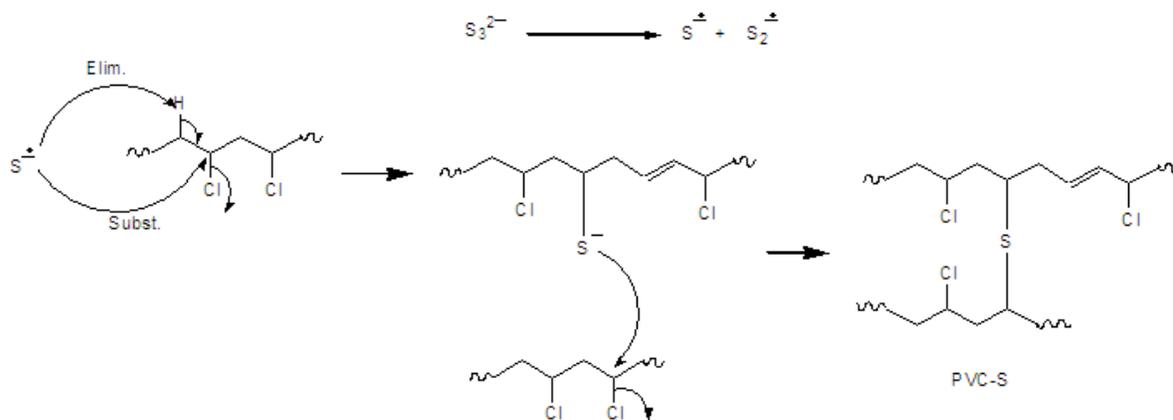
Other functionalizations of PVC realized by Yoshioka and his team [133] were those using iminodiacetic acid (IDA), iminodiacetic acid dimethylester (IDADM), and as *n*-dodecanethiol (DT) as modifying agents. The course of reactions required potassium carbonate as base, dimethyl formamide (DMF) and cyclohexanone as solvents, and a temperature of  $100\text{ }^\circ\text{C}$  (Eq. 36). The outcome of these undertakings was that no modification occurred with iminodiacetic acid, probably due to its acidic character. However, the reaction with its dimethyl ester IDADM gave a high degree of elimination (43%) and a low degree of substitution (3.8%), and the IDADM-bound PVC was found to be insoluble in common solvents, presumably due to the high elimination extent. Spectral analysis along with the white color of IDADM-bound PVC would suggest the formation of isolated double bonds. The thiolation of PVC with *n*-dodecanethiol (DT) was successful in cyclohexanone, yielding about 17% of substitution and 10% of elimination according to elemental analysis and no elimination had taken place according to NMR analysis. The thus-modified PVC's were less thermally stable than the virgin PVC; while PVC started to degrade at nearly  $270\text{ }^\circ\text{C}$ , IDADM-PVC started to decompose drastically at about  $170\text{ }^\circ\text{C}$  and DT-bound PVC at about  $240\text{ }^\circ\text{C}$ .

A thorough study of the reaction of PVC with sodium sulfide was carried out in water and in ethylene glycol at  $80$  and  $150\text{-}190\text{ }^\circ\text{C}$ , respectively [127] (Eq.

35). Maximum dechlorination reached 2.5% after 3 h in water and 19.1% after 8 h in ethylene glycol. In the latter system and at  $190\text{ }^\circ\text{C}$ , both substitution and elimination took place, and therefore, the formation of double bonds, thiol groups and crosslinks consisting of sulfide. The yield and the maximum substitution extent for  $\text{S}^{2-}/\text{Cl}_{\text{PVC}}$  molar ratio of 1 were near 71 and 39%, respectively. The yields of dechlorination increased with temperature up to  $170\text{ }^\circ\text{C}$  and dropped beyond; the yield at  $170\text{ }^\circ\text{C}$  was nearly 57% for a  $\text{S}^{2-}/\text{Cl}_{\text{PVC}}$  molar ratio of 2. Effect of diol medium on the dechlorination rates for a reaction time of 10 h was: 57.2% (ethylene glycol), 76.2% (diethylene glycol), and 56.4% (triethylene glycol). The use of tetrabutylammonium bromide (TBAB) as phase-transfer catalyst increased the dechlorination rate; at  $170\text{ }^\circ\text{C}$  and for a  $\text{S}^{2-}/\text{Cl}_{\text{PVC}}$  molar ratio of 2, the dechlorination rate reached about 53% after 2 h. In a continuing work on plastic waste recycling, Yoshioka *et al.* [134] lately reported the nucleophilic modification of PVC *via* reaction with sodium trisulfide  $\text{Na}_2\text{S}_3$  in ethylene glycol, diethylene glycol, or triethylene glycol, at  $150\text{-}190\text{ }^\circ\text{C}$  as shown in Eq. 35. On the contrary to what the authors expected, the ensued crosslinking between PVC chains was secured by monosulfide as observed with  $\text{Na}_2\text{S}$  and not by polysulfide. As advanced, monosulfide and polysulfide radical anions in such solvents could have been formed and were involved in the reaction mechanism as proposed in Scheme 4. The dechlorination was almost complete (99%) after 36 h at  $190\text{ }^\circ\text{C}$  and in ethylene glycol, yielding 88.7% substitution for  $[\text{Na}_2\text{S}_3]/[\text{PVC}]$  ratio of 3. The dechlorination rate was initially higher in triethylene glycol and diethylene glycol, but the use of triethylene glycol favored the elimination pathway.

## 8. FUNCTIONALIZATION FOR LIGHT-RELATED APPLICATIONS

Kim's research group [135] anchored hydrophilic segments of polymer of poly(ethylene oxide)



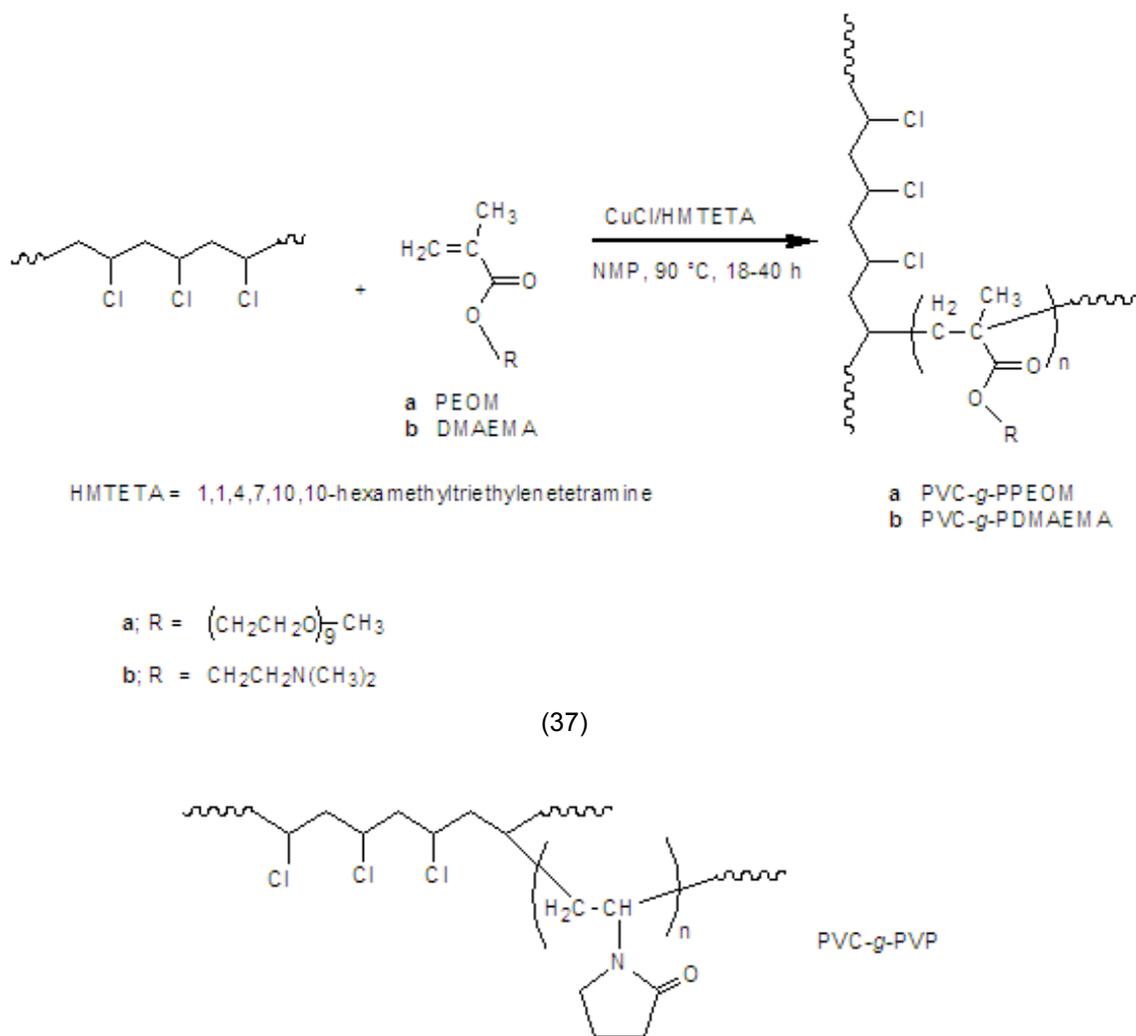
**Scheme 4:** Proposed mechanism for the vulcanization of PVC with  $S_3^{2-}$ .

monomethacrylate) (PEOM) of different lengths onto PVC matrix ( $M_w = 43,000$  g/mol,  $M_n = 22,000$  g/mol) through grafting copolymerization by means of atom transfer radical polymerization (ATRP) as illustrated in Eq. 37. The molecular weight of the copolymer was function of the amount of PEOM used; molecular weights of  $M_w \sim 156,000$  g/mol,  $M_n \sim 61,400$  g/mol were measured. Two  $T_g$ 's were detected at  $-58$  and  $70$  °C, assigned to the PPEOM and PVC chains, respectively; those of homopolymers were  $-69$  °C (PPOEM) and  $83$  °C (PVC). PVC-*g*-PPEOM was a platform for designing dye-sensitized solar cells (DSSC) [135-139]. To this end, polyelectrolyte prepared by mixing PVC-*g*-PPEOM with LiI/iodine/PEG500 in 1-methyl-3-propylimidazolium iodide MPII (an ionic liquid), was coated on  $TiO_2$  electrode sensitized with  $Ru(2,2\text{-bipyridyl-}4,4\text{-dicarboxylato})_2(NCS)_2$  dye. WAXS (wide angle x-ray scattering) and FTIR analyses of the polyelectrolyte confirmed the existence of coordinative interactions between Li ions and PPEOM chains. The  $T_g$  of PPEOM chain was affected by LiI treatment; it increased to  $-44$  °C, whereas that of PVC chain was not altered. The addition of the ionic liquid MPII to PVC-*g*-PPEOM-LiI restored the  $T_g$  of PPEOM chain to its original value ( $-59$  °C) and lowered that of PVC chain to  $65$  °C. And, the addition of PEG500 reduced both  $T_g$ 's to  $-64$  and  $48$  °C, respectively; MPII and PEG500 acted as effective plasticizers. The plasticizing character of the latter two additives affected positively the ionic conductivity of the material up to  $1.2 \times 10^{-4}$  S.cm $^{-1}$  (for PEG500). The best DSSC performance with an energy conversion efficiency  $\eta$  of 5% at 100 mW/cm $^2$  was observed using PVC-*g*-PPEOM/(LiI+MPII)/PEG polyelectrolyte and giving the following current-voltage parameters:  $V_{OC}$  (open-circuit voltage) = 0.72 V,  $J_{SC}$  (short-circuit current) = 11.3 mA/cm $^2$ ,  $FF$  (fill factor) = 0.61.

The same school extended their investigation on dye-sensitized solar cells employing poly(vinyl chloride)-*g*-poly(2-(dimethylamino)ethyl methacrylate) (PVC-*g*-PDMAEM) [140] and poly(vinyl chloride)-graft-poly(*N*-vinyl pyrrolidone) (PVC-*g*-PVP) (Figure 9) [141], synthesized under identical conditions as those reported by ZhaoQiang *et al.* [79]. The molecular weights of these graft copolymers were higher than that of virgin PVC. Elsewhere [142], the graft copolymer PVC-*g*-PDMAEM was achieved differently, starting with isopropylthioxanthone (ITX)-grafted PVC, and leading to a copolymer with higher molecular weight and with 15-28.6 mol.% of PDMAEM chain; interestingly, the graft copolymer with more than 15 mol% of PDMAEMA segments was claimed to be able to assemble into stable core-shell micelles in water at pH = 3.

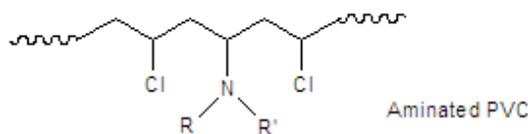
The energy conversion efficiency  $\eta$  for DSSC made of PVC-*g*-PDMAEM-coated  $TiO_2$  and a polyelectrolyte consisting of PEODME/SiO $_2$ /MPII/I $_2$  (PEODME = poly(ethyleneglycol dimethyl ether)), was 3.2% at 100 mW/cm $^2$  with the following current-voltage characteristics:  $V_{OC} = 0.72$  V,  $J_{SC} = 9.1$  mA/cm $^2$ ,  $FF = 0.48$ . The photovoltaic characteristics of DSSC made of PVC-*g*-PVP-coated  $TiO_2$  and a polyelectrolyte consisting of PEODME/SiO $_2$ /MPII/I $_2$  were:  $\eta = 1.05\%$  at 100 mW/cm $^2$ ,  $V_{OC} = 0.75$  V,  $J_{SC} = 2.87$  mA/cm $^2$ ,  $FF = 0.49$ .

Five aminated PVC's (Figure 10) were synthesized by chemical modification of PVC with dicyclohexylamine, dipropylamine, ethylenediamine, *iso*-butylamine, and *tert*-butylamine in THF at reflux for 4-6 h [143]. The resulting PVC-amines were pale yellow to brown in color and were obtained in moderate yields, 51-73%. The optical properties of these aminated PVC's were measured using UV-visible analysis. According to Tauc equation  $ah\nu = A(h\nu - E_g)^{1/2}$ , the



**Figure 9:** Poly(vinyl chloride)-graft-poly(*N*-vinyl pyrrolidone), PVC-*g*-PVP.

energy band gaps  $E_g$  drawn from the plots of  $(\alpha h\nu)^2$  versus  $(h\nu)$  were in the range of 4.33-5.38 eV against 5.77 eV for PVC.

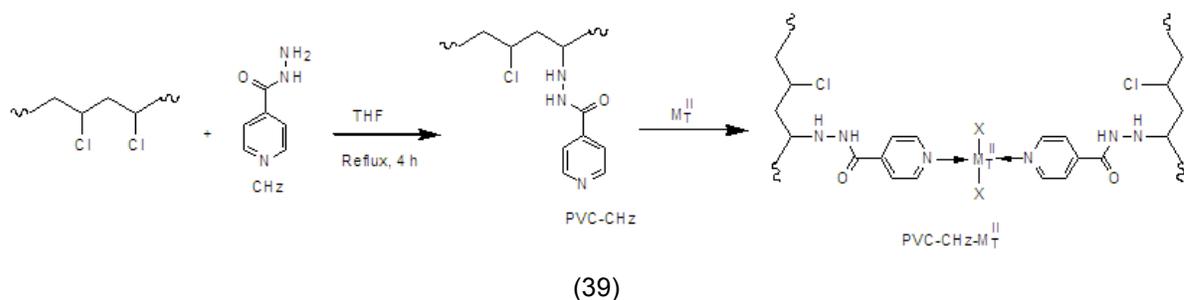
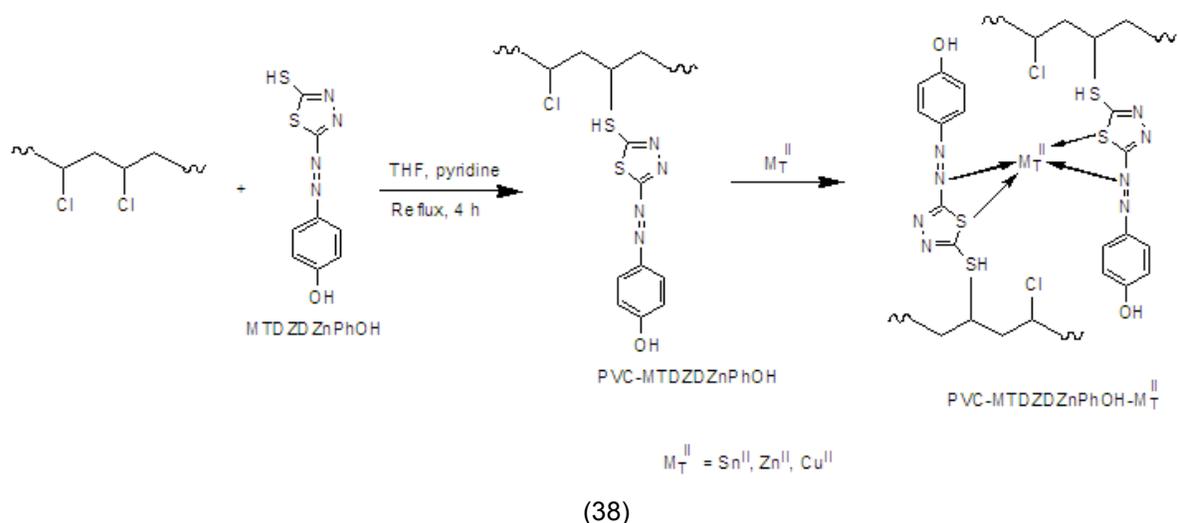


R = R' = cyclohexyl; R = R' = propyl; R = ethylamine; R' = H;  
R = *iso*-butyl, R' = H; R = *tert*-butyl, R' = H

**Figure 10:** Aminated PVCs.

Witwit [144] reported the functionalization of PVC with the synthesized 4-[(5-mercapto-1,3,4-thiadiazol-2-yl)diazenyl]phenol (MTDZDZnPhOH), an azo-chromophore ligand. The reaction was run in THF at reflux and in the presence of trace of pyridine for four hours as depicted in Eq. 38. The brown thus-modified PVC-MTDZDZnPhOH, softened at a temperature of 249-253 °C. Complexes of Sn<sup>II</sup>, Zn<sup>II</sup>, and Cu<sup>III</sup> with

PVC-MTDZDZnPhOH were obtained as colored precipitates: yellow, reddish brown, and dark green, respectively. Their softening points were larger than that of chelating PVC and were in the order of their atomic weights of the metals: 288, 267, and 273 °C, respectively. The energy gap shifts  $E_g$  for unmodified PVC, modified PVC, and the PVC complexes were assessed by plotting  $(\alpha h\nu)^2$  versus  $h\nu$ , and were found as follows  $E_g$  (eV): 5.79 (PVC), 2.91 (PVC-MTDZDZnPhOH), 2.33 (PVC-MTDZDZnPhOH-Sn<sup>II</sup>), 2.30 (PVC-MTDZDZnPhOH-Zn<sup>II</sup>), 2.00 (PVC-MTDZDZnPhOH-Cu<sup>II</sup>). Two bipolaron bands were detected for the chelating PVC and the PVC complexes: one attributed to the transition from valence band to bonding bipolaron band and the other to the transition from valence band to anti-bonding bipolaron band. In another place [145], it was disclosed the synthesis of PVC modified with pyridine-4-carbohydrazide (PyCHz) under the same experimental protocols as in Eq. 38, followed by complexation with



transition metals  $M_T^{II}$  ( $Sn^{II}$ ,  $Zn^{II}$ ,  $Cu^{II}$ ,  $Ni^{II}$ ,  $Cd^{II}$ ) (Eq. 39). The PVC complexes were colored from pale yellow to yellow, brown, and green, and softened or decomposed at temperatures higher than 270 °C. UV-visible spectra of the PVC complexes revealed absorption bands assigned for different  $\pi \rightarrow \pi^*$ , charge transfer and  $d-d$  transitions. For all PVC complexes, two energy band gaps were observed ( $E_{g1}$ ,  $E_{g2}$ ) and were (eV): PVC-PyCHz- $Sn^{II}$ : 3.31, 3.73; PVC-PyCHz- $Zn^{II}$ : 2.89, 3.02; PVC-PyCHz- $Cu^{II}$ : 2.95, 3.19; PVC-

PyCHz- $Ni^{II}$ : 3.24, 3.32; PVC-PyCHz- $Cd^{II}$ : 2.97, 3.21. The energy gaps for PVC-PyCHz were 3.62 and 3.73 eV and that for bare PVC was 5.79 eV.

PVC bearing pendent groups that contain 1,3,4-thiadiazole (TDz) and phthalyl (Pht) moieties (Figure 11) showed interesting optical properties [146]. Only one band energy gap was detected for all polymers and was measured as  $E_g$  (eV): 4.84 (PVC), 4.31 (PVC-THDPht I), 4.17 (PVC-THDPht II), 4.38 (PVC-THDPht

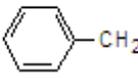
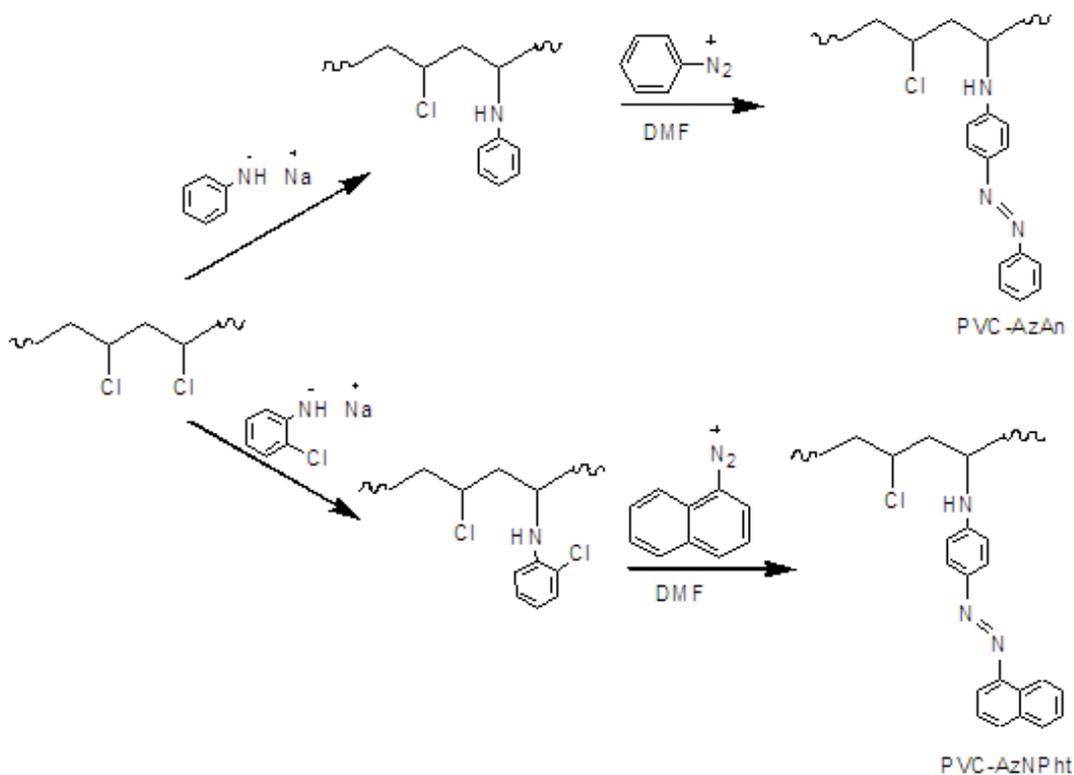
R	Modified PVC
H	PVC-ThDPht I
CH <sub>3</sub>	PVC-ThDPht II
(CH <sub>3</sub> ) <sub>2</sub> CH	PVC-ThDPht III
	PVC-ThDPht IV
CH <sub>3</sub> SHCH <sub>3</sub> CH <sub>2</sub>	PVC-ThDPht V

Figure 11: PVC with pendent 1,3,4-thiadiazole and phthalyl groups.



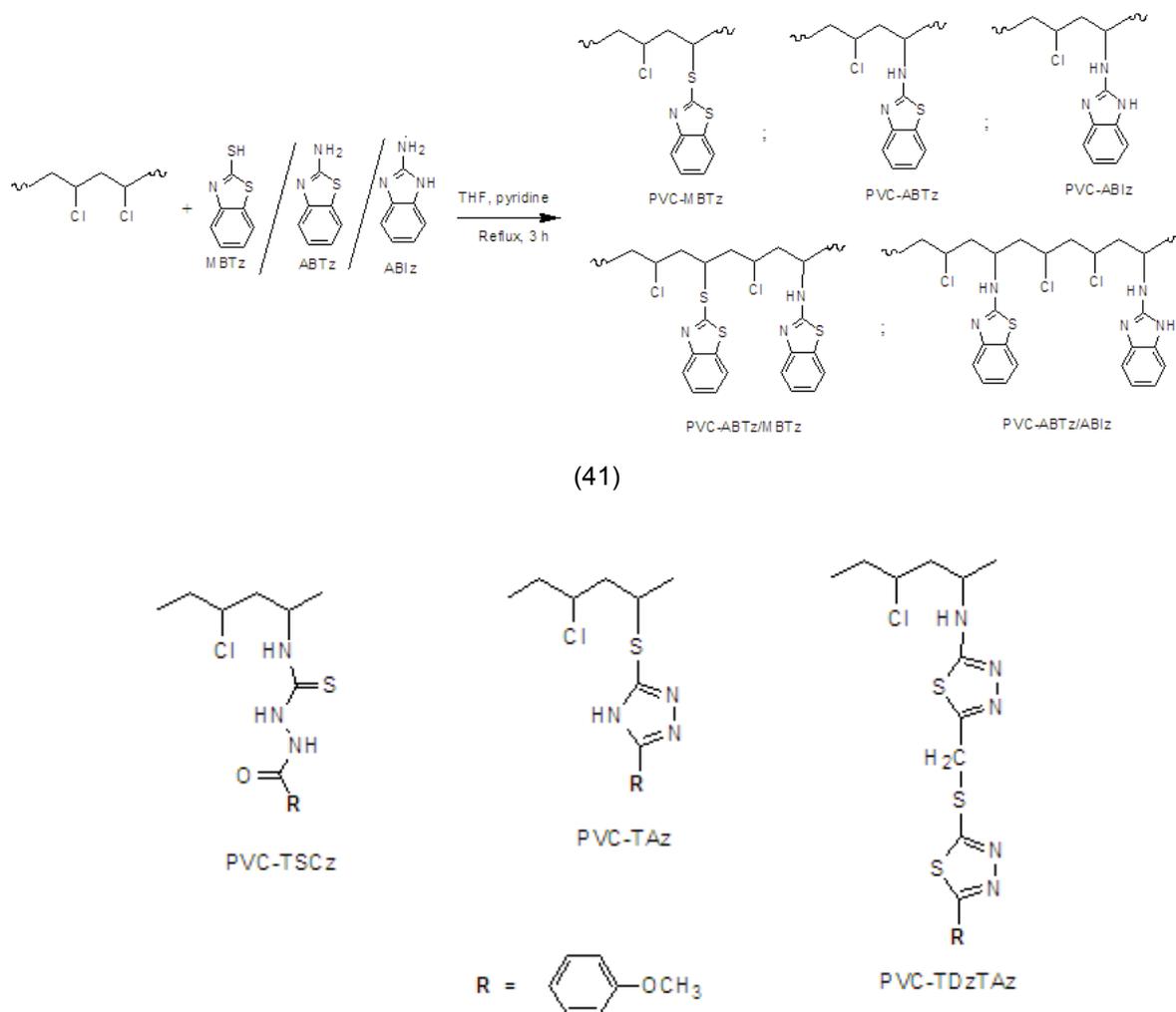
(40)

III), 4.35 (PVC-THDPht IV), 4.13 (PVC-THDPht V). The shifting in the energy gaps observed for modified PVCs was assigned to the formation of polarons, probably caused by the presence of the heteroatoms, nitrogen and sulfur atoms.

Photoresponsive materials were accomplished by covalently affixing azo-chromophore-containing moieties *via* diazonium coupling, using benzene diazonium salt (diazonium salt of aniline) and naphthalene diazonium salt (diazonium salt of  $\alpha$ -naphthylamine) onto PVC matrix [147]. The different steps to achieve these materials are outlined in Eq. 40. PVC underwent prior functionalizations by reacting with sodium aniline and *o*-chloroaniline salts in DMF at 60 °C for 8 h, followed by reaction with the diazonium salts, to afford PVC-AzAn and PVC-AzNPht. The thermal stability of the latter modified PVCs was lower than PVC; weight loss occurred at temperatures lower than 150 °C, whereas that of PVC started at 265 °C. Their absorption bands in UV-visible spectra appeared at 389 and 441 nm, respectively.

Benzothiazole and benzimidazole units, UV absorbing groups and photostabilizers, were covalently bound to PVC backbone by reaction of the latter polymer with 2-aminobenzothiazole (ABTz), 2-mercaptobenzothiazole (MBTz), and 2-

aminobenzimidazole (ABlz) in THF and in the presence of pyridine at reflux for 3 h (Eq. 41) [148]. PVC-ABTz and PVC-MBTz were white and yellow in color and with softening points of ~93.5 and ~104.5 °C, respectively; PVC-ABlz, PVC-ABTz/MBTz, and PVC-ABTz/ABlz were brown with softening points of ~164, ~143, and ~134 °C, respectively. The photodegradation of untreated PVC and the modified ones was quantified by molecular weight measurement and by estimation of the carbonyl index ( $I_{C=O}$ ), the hydroxyl index ( $I_{OH}$ ) and the polyene index ( $I_{C=C}$ ) of the irradiated samples; the indexes would give an insight into the extents of scission, carbonyl formation, hydroxyl formation, and polyene formation, respectively, after irradiation of the polymeric film. Upon irradiation, the quantum yields of the chain scission ( $\Phi_{cs}$ ) of the modified PVC were found lower than that for PVC ( $5.12 \times 10^{-7}$ ), and were in this increasing order:  $\Phi_{cs}$  (PVC-ABTz) =  $1.11 \times 10^{-7}$  >  $\Phi_{cs}$  (PVC-MBTz) =  $1.36 \times 10^{-7}$  >  $\Phi_{cs}$  (PVC-ABlz) =  $1.39 \times 10^{-7}$  >  $\Phi_{cs}$  (PVC-ABTz/MBTz) =  $1.59 \times 10^{-7}$  >  $\Phi_{cs}$  (PVC-ABTz/ABlz) =  $2.82 \times 10^{-7}$ . The indexes  $I_{C=O}$ ,  $I_{OH}$ ,  $I_{C=C}$  were lower than those for PVC after irradiation time of 250 h, particularly those for PVC-ABTz, PVC-MBTz, and PVC-ABlz. In addition, their molecular weights did not change as abruptly as for PVC, especially the latter three modified PVCs. All these findings would hint at the photostabilizing effect of the benzothiazole and benzimidazole groups.



**Figure 12:** PVC functionalized with thiosemicarbazide, thiadiazole, and triazole.

PVC with thiosemicarbazide (TSCz), thiadiazole (TDzTAz), and triazole (TAz) functionalities were prepared under the conditions as indicated in Eq. 41 and were studied for the photostability [149]. After irradiation of their specimens for 250 h, PVC and the modified PVCs (Figure 12), PVC-TSCz, PVC-TAz, PVC-TDzTAz, presented variations of indexes  $I_{C=O}$ ,  $I_{OH}$ ,  $I_{C=C}$  as given in Table 2. One can understand the photostability of the modified PVCs according to the variations of molecular weight and the indexes  $I_{OH}$  and  $I_{C=C}$ ; however, and to one's surprise, the variations of

$I_{C=O}$  would suggest that PVC is more stable to photolysis than the modified ones.

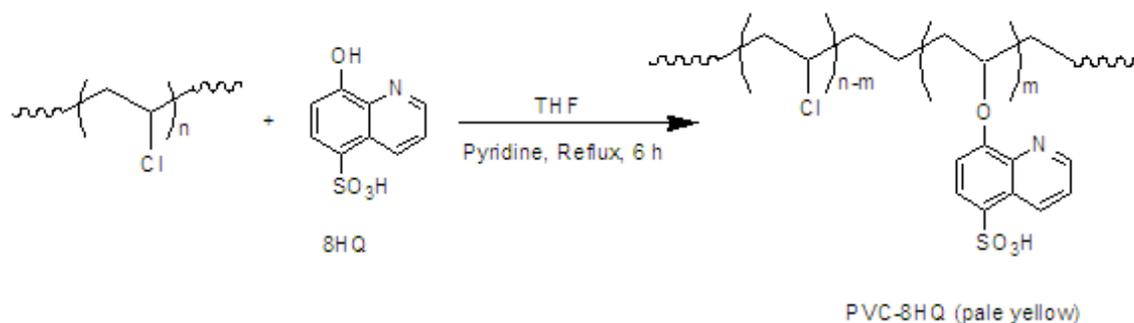
## 9. FUNCTIONALIZATIONS FOR DESALINATION

A core-shell polymeric sorbent for removal of boric acid ( $H_3BO_3$ ) was conceived by grafting poly(glycidyl methacrylate) onto crosslinked PVC matrix, applying ATRP strategy [150]. The approach was to realize the graft polymerization of glycidyl methacrylate (GMA) onto dehydrochlorinated PVC (DHPVC) in the

**Table 2:** Variation of the Different Indexes after Irradiation of PVC and its Modifications

	PVC	PVC-TSCz	PVC-TAz	PVC-TDzTAz
$\Delta I_{C=O}$ increase (%)	627	650	1 100	2050
$\Delta I_{OH}$ increase (%)	251	123	82	65
$\Delta I_{C=C}$ increase (%)	116	62	24	26
$\Delta M_v$ decrease (%)	44	37.5	24	21





better leaving group than chlorine one. The reaction with diethylenetriamine in dioxane at 80 °C for 48 h afforded a yellow material with the proposed crosslinked structure **A** shown in Figure 13. The same reaction run in THF at 160 °C for 3 h yielded a brown material with the proposed crosslinked structure **B** as shown below (Figure 13). The PVC-amine prepared under the latter conditions was further functionalized with *p*-aminoanisole in THF at 150 °C for 3 h to end up with **C** (Figure 13). The authors of this work seemed not to be aware of the polyene formation, particularly at high temperatures, giving rise to the brownish color of the modified PVC. The modified PVC's obtained were evaluated as potential sorbents for heavy metals. The removal levels of different metals by the modified PVCs **A**, **B**, and **C** were reported as follows: Hg<sub>2</sub><sup>II</sup> (36, 50, 21%), Sn<sup>II</sup> (33, 87, 12%), Pb<sup>II</sup> (9, 12, 10%), Fe<sup>III</sup> (27, 75, 8%), Cd<sup>II</sup> (0, 7.5, 10.5%), Ni<sup>II</sup> (0, 9, 10%), Cu<sup>II</sup> (0, 16, 30%).

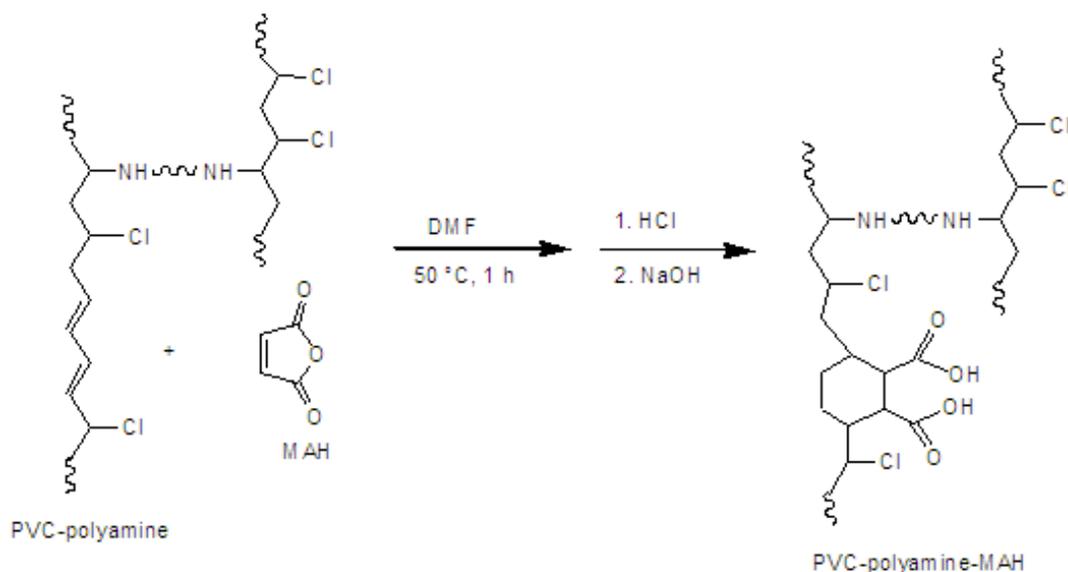
A polymeric metal complex was devised by appending 8-hydroxyquinoline-5-sulphonic acid (8HQ), a chelating molecule, to PVC backbone as pictured in Eq. 43 [152]. PVC complexes were realized by mixing a solution of PVC-8HQ in THF with the solution of transition metal (M<sub>T</sub>) in ethanol; the colored PVC-8HQ-M<sub>T</sub> complexes (M<sub>T</sub> = Cr<sup>III</sup>, Co<sup>II</sup>, Ni<sup>II</sup>, Cu<sup>II</sup>, Cd<sup>II</sup>, Hg<sup>II</sup>, and Pb<sup>III</sup>) were soluble in DMF and DMSO. Spectral evidence revealed the octahedral geometry for PVC-8HQ-Cr complex, square planar geometry for PVC-8HQ-Ni complex, tetragonal geometry for PVC-8HQ-Cu complex, and tetrahedral geometry for PVC-8HQ-Hg, PVC-8HQ-Pb, and PVC-8HQ-Cd complexes.

A PVC-based adsorbent was conceived by reaction of PVC-NH<sub>2</sub> with diacetone acrylamide *via* Michael addition [153]. The sorption of 4-chlorophenol by this PVC sorbent fitted Freundlich model, and obeyed a pseudo-second-order kinetics with a rate constant of 5.38 mg/(mg·min) at 25 °C. The adsorption capacity of the resin was 45.2 mg/g at this temperature.

To develop a palladium-free process for the electroless metal plating, a semi-interpenetrating polymer network (semi-IPN) hydrogel, consisting of chitosan/polyethylene glycol) and glutaraldehyde as crosslinker, was covalently linked to an etched PVC surface [154, 155]; the latter etching with KMnO<sub>4</sub>/NaOH helped creating polar groups, namely hydroxyl and carboxyl groups. The swelling of the PVC-(semi-IPN hydrogel) increased with glutaraldehyde content and decreased with increasing pH. Optimal adsorption capacity of the PVC-(semi-IPN hydrogel) towards copper (II) nanoparticles (20-50 nm) was nearly 126 mg/g under the optimal conditions: a pH of 5.5, a glutaraldehyde quantity of 0.5 mL, a copper(II) concentration of 100 mg/L, a time of 60 min. Such copper sorption by this PVC composite was found to be also depended on hydrogel morphology. Copper adsorption was believed to take place by a chelation mechanism mostly through the amino groups of chitosan. Such as-adsorbed copper ions would act as catalyst nuclei sites to initiate the electroless metal plating, and thus avoiding the common use of palladium. The surface resistance  $R_s$  detected of as-plated nickel at pH 5.5 and by using glutaraldehyde of 1 mL was around 0.52 Ω sq<sup>-1</sup>, a good value for a material to exhibit a better electromagnetic shielding property (< 10 Ω sq<sup>-1</sup>).  $R_s$  rose with the amount of glutaraldehyde and reached 0.77 Ω sq<sup>-1</sup> for 3 mL of glutaraldehyde. The Cu(II) adsorption results obeyed better the Langmuir model than the Freundlich one, hinting at the occurrence of a monolayer adsorption on the modified-PVC surfaces.

## 10. FUNCTIONALIZATIONS FOR MISCELLANEOUS APPLICATIONS

In the goal of setting resins for cation exchanger and chromatography, Singh and his co-workers [156] modified PVC with maleic anhydride after crosslinking with polyamines. Crosslinking was realized with ethylenediamine, diethylenetriamine, or ethanolamine,

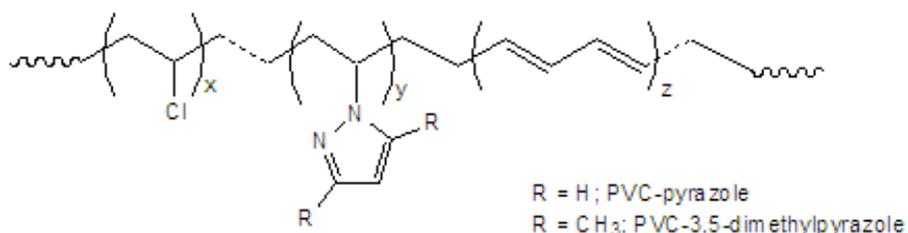


in DMF at 80 °C for 4-5 h, *via* a substitution mechanism; a crosslinking yield of 80 % was attained, with a concomitant formation of conjugated polyene sequences under these conditions. The crosslinked PVC-polyamines were yellow to brown, indicating the existence of unsaturated sites, and were less thermally stable than the parent PVC. A further modification was devised by reacting the thus-crosslinked PVC with maleic anhydride (MAH) through a Diels-Alder cycloaddition as depicted in Eq. 44. The PVC-polyamine-MAH resins were lighter in color, suggesting the decline in the number of conjugated double bonds. Greater swelling ratios of the PVC-polyamine-MAH resins in water, 0.1 HCl, 0.1 NaOH, and 0.1 NaCl, were measured compared to those of PVC and crosslinked PVC-polyamines.

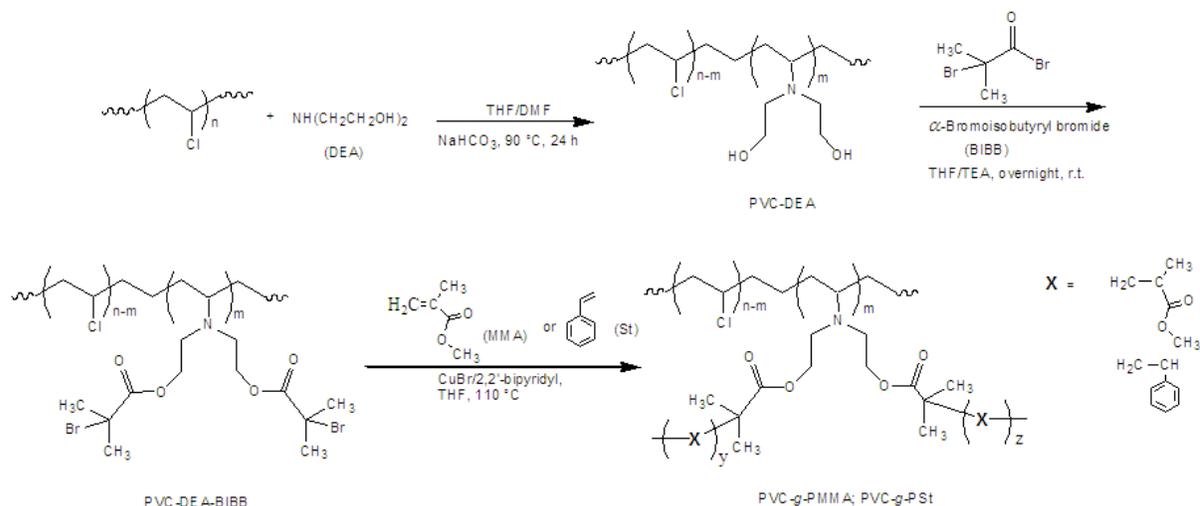
Russian workers [157] functionalized PVC ( $M_v = 63\ 000\ \text{g/mol}$ ) with pyrazole and 3,5-dimethylpyrazole by means of their sodium salts, in DMF, DMSO, or cyclohexanone at temperatures of 0-45 °C. The thus-modified PVC's (Figure 14) were insoluble and yellow to black. The substitution degrees fluctuated between 4.30 and 15.88%. Based on the residual chlorine, the

modification degrees were found greater, suggesting the occurrence of intensive dehydrochlorination which was confirmed by the observed dark color. Pyrazole-functionalized PVC's were found less thermally stable than the pristine PVC; while the latter one began to decompose at 170 °C, the former ones started at 150 °C. The conjugated polyene sequences conferred paramagnetic properties to the modified PVC's. Signals of a width 9 to 14 Å in their EPR spectra corresponded to the concentrations of paramagnetic centers  $10^{17}$ – $10^{19}\ \text{spins g}^{-1}$ ; the Lande *g*-factors ranged from 2.0037 to 2.0044, values different from that of free electron (2.002319), which were assigned to spin-orbit interaction. Such deviation was reasoned in terms of interaction of the unpaired electron with nitrogen hetero atoms.

ATRP technique was employed to engineer PVC-*g*-PMMA and PVC-*g*-PSt with dielectric characteristics from PVC functionalized with diethanolamine (DEA) [158]. The macroinitiator for ATRP was made by acylating PVC-DEA with  $\alpha$ -bromoisobutyryl bromide (BIBB) in THF and in the presence of triethylamine (TEA) at room temperature (Eq. 45). The glass



**Figure 14:** PVC modified with pyrazoles.



transition temperatures of PVC-DEA, PVC-DEA-BIBB, PVC-*g*-PMMA, and PVC-*g*-PSt were measured as 93, 91, 120, and 98 °C; that of parent PVC was found to be 91 °C. The higher  $T_g$ 's of the grafted copolymers were due to a drop in the chain mobility and the free volume, particularly for PVC-*g*-PMMA. Only one single glass transition was observed for both graft copolymers, hinting at the good compatibility of their segments. In general, the graft copolymers PVC-*g*-PMMA and PVC-*g*-PSt exhibited better thermal stability than PVC, PVC-DEA and PVC-DEA-BIBB; the latter two ones showed a thermal stability slightly lower than PVC. They started to degrade at the following temperatures: 243 °C (PVC), 220 °C (PVC-DEA), 205 °C (PVC-DEA-BIBB), 245 °C (PVC-*g*-PMMA, PVC-*g*-PSt). The dielectric constants ( $\epsilon$ ) of the modified PVC's were in the range of 4.17-4.76 measured at 1 kHz, values greater than that of PVC (3.22).

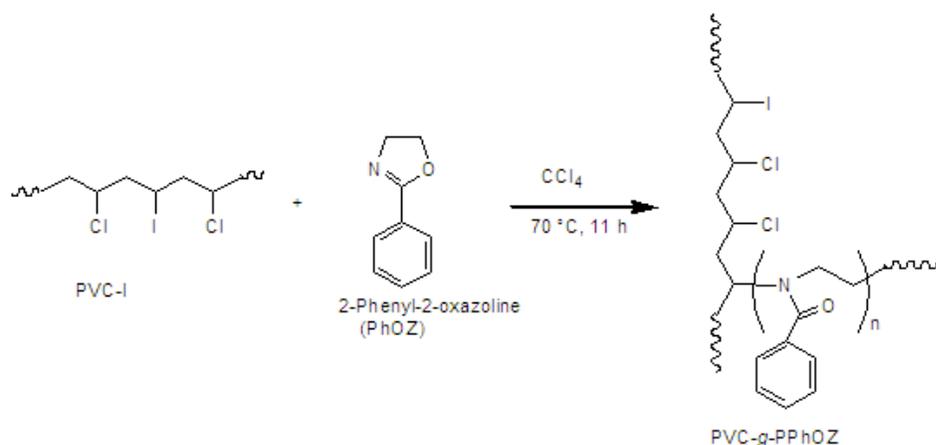
Lăzăroaie and his collaborators [159] presented sequential chemical modifications of PVC ( $M = 50\ 300$  g/mol), involving amination, isocyanation, and PEGylation. Also, they investigated the transformation of PVC-NH<sub>2</sub> with acrylamide, and the graft copolymerization of PVC with 2-phenyl-2-oxazoline (PhOZ) *via* the iodinated PVC. PVC-amine was achieved with near 5% substitution, using EDA at 80 °C for 1 h under neat conditions. Isocyanation of PVC-NH<sub>2</sub> was accomplished in 4.5% conversion, and was affected by hexamethylene diisocyanate (HMDIC) in heptane at ambient temperature for 1 h. A subsequent modification was the PEGylation of PVC-NH<sub>2</sub>-HMDIC, employing PEG 400 and PEG 1500, in benzene at room temperature for only 15 min. Michael addition was applied for the modification of PVC-NH<sub>2</sub> with

acrylamide, affording 2% conversion when working with excess of aqueous acrylamide and at room temperature for 3 h. A Conant-Finkelstein reaction on PVC using NaI in acetone at 50 °C for 1.5 yielded iodinated PVC-I with 33% conversion and molecular weight of  $M = 74\ 500$  g/mol. Ring-opening polymerization of 2-phenyl-2-oxazoline initiated by PVC-I, *via* nucleophilic substitution, took place in CCl<sub>4</sub> and 70 °C for 11 h, giving rise to grafted copolymer PVC-*g*-PPhOZ with a molecular weight of  $M = 113\ 000$  g/mol (Eq. 46).

Polystyrene (PSt) underwent Friedel-Crafts alkylation with PVC using AlCl<sub>3</sub> to enhance the compatibility of PVC/PSt blend [160]; the obvious mechanism would have involved the PVC carbocation generated as the one shown in the above equation (Eq. 32). Such operation led to an improvement of the impact toughness of the blend and the melting temperature of the blending; indeed, the latter parameter dropped by 20 °C. Also, an in-between  $T_g$  of the blend (89 °C) was detected; those of PSt and PVC were measured as 106 and 83 °C, respectively. Such modification led to enhanced mechanical properties of PVC: a tensile strength increase of about 22.6% and the impact strength increase of 35.9%.

## 11. CONCLUSIONS

The above-outlined chemistry of poly(vinyl chloride) would inevitably instill into the chemist's mind that this material and the plethora of its functionalizations stand as valuable means for targeted applications and uses. Such a chemical diversity would let chemist expect a bright future for other undertakings of poly(vinyl



chloride). Adequately modified poly(vinyl chloride) would indubitably be an unshakable platform for cutting-edge technology. Indeed, the functionalizations of PVC intended for biological and medical end-uses are peculiarly regarded. Besides its good characteristics for designing separative and ion-electrode selective membranes, the other feature of PVC is its readily functionalizability by virtue of the novel chemistries, namely the click chemistry and the chemistry behind macroinitiator making as in living/controlled radical polymerization (LCRP), leading to PVC-graft copolymers. A definite remedy for migration of the external plasticizers from the bulk PVC could be effectively realized through covalent plasticization, thus annihilating any adverse effect of the plasticizer.

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